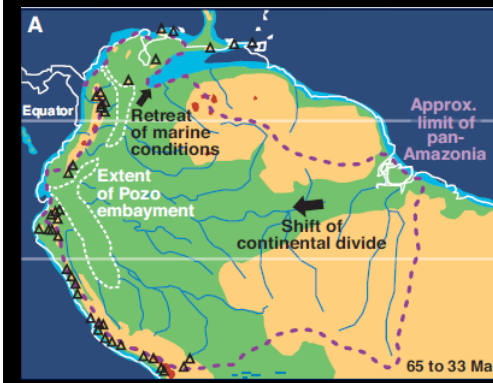


# THE AMAZON:

## An Ecologist's Introduction

1. Amazon across Time
2. Current Amazon: Ecosystems, Climate and Soils
3. Structure and Dynamics of the Amazon
4. Amazon Diversity
5. Thoughts about a Changing Amazon



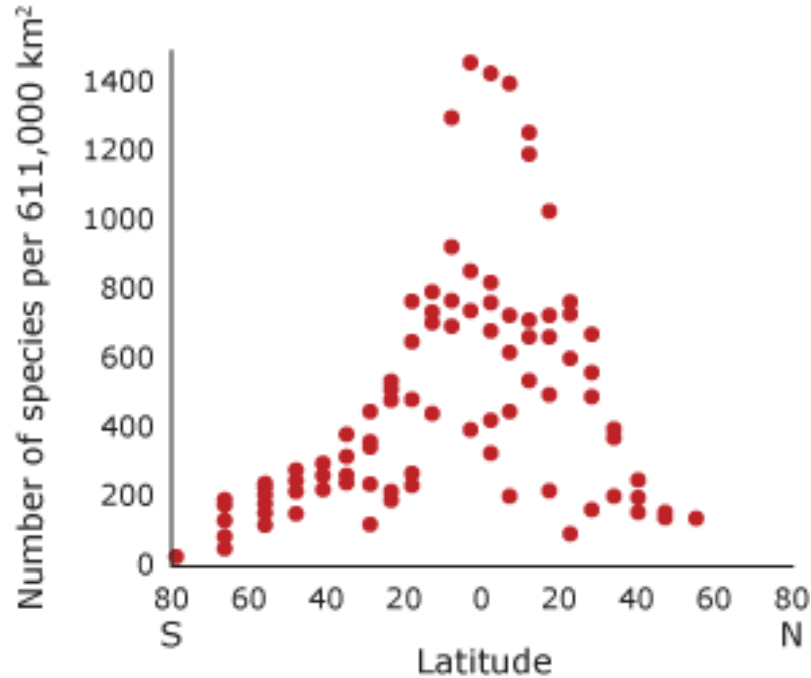
# Amazon: “the most species-rich terrestrial ecosystem in the world”



Terry Erwin

# Latitudinal diversity gradients: more species in the tropics

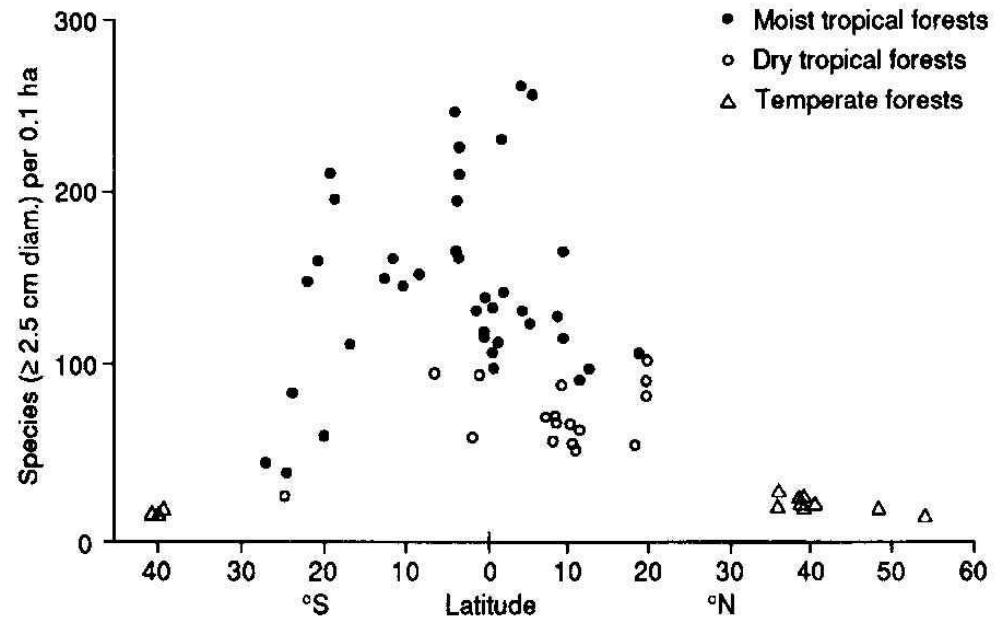
 Birds



Gaston (2000)

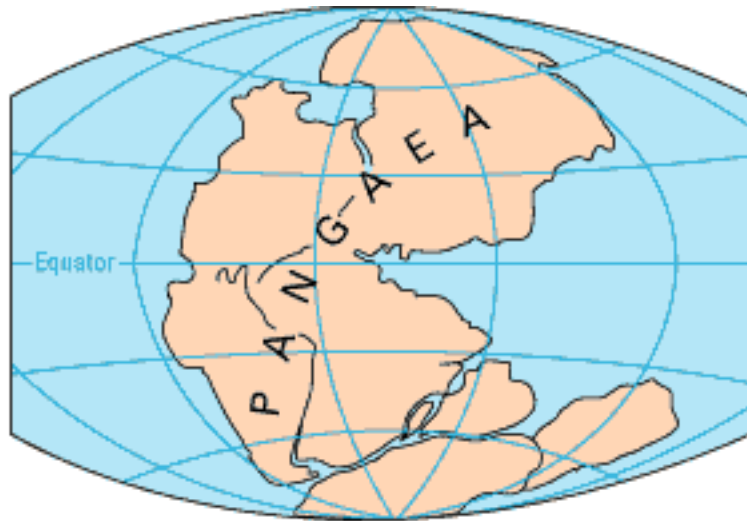


Woody plants

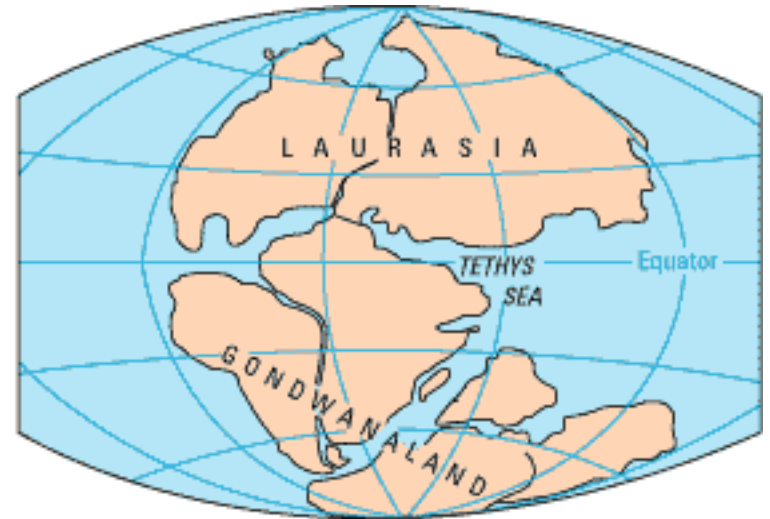


Gentry (1988)

# Present diversity in the Amazon reflects the dynamic geologic history of South America



PERMIAN  
225 million years ago



TRIASSIC  
200 million years ago

Paleozoic (570-225 MYA): Amazon a giant gulf opening westward to Pacific.  
Sediments from this gulf are today's soils

Carboniferous (345-280 MYA): seas recede

Mesozoic (225-65 MYA): land was dry with rivers flowing to Pacific



# Stage One: "PRE-ANDEAN STAGE" – approx 200 million years ago

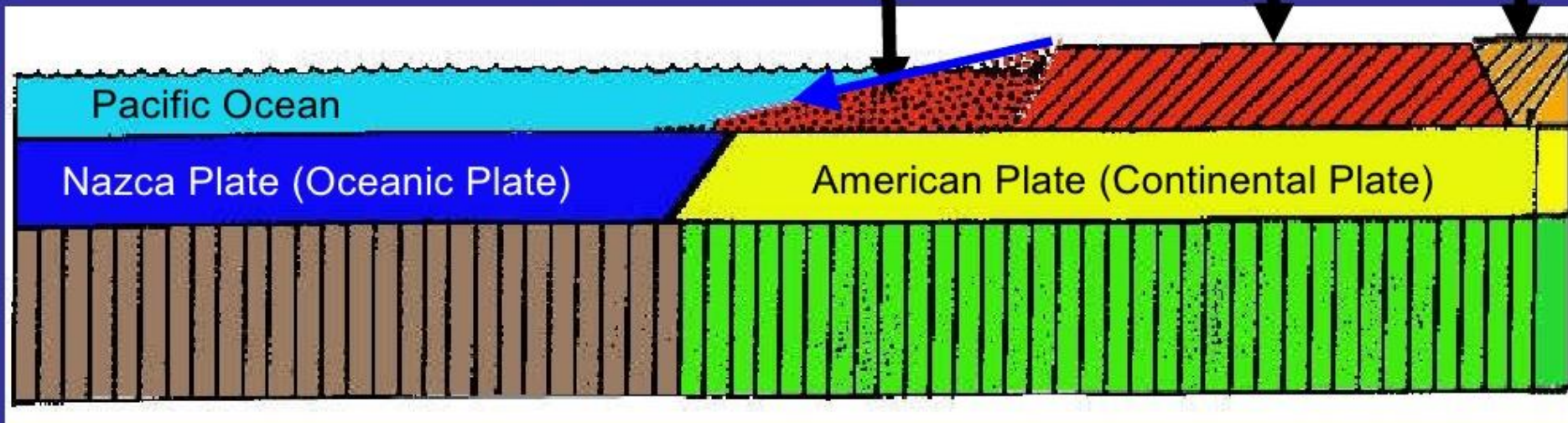
(Jurassic)

*Water flows west into the Pacific Ocean, depositing sedimentary material.*

Western Gondwanaland  
– continental shield

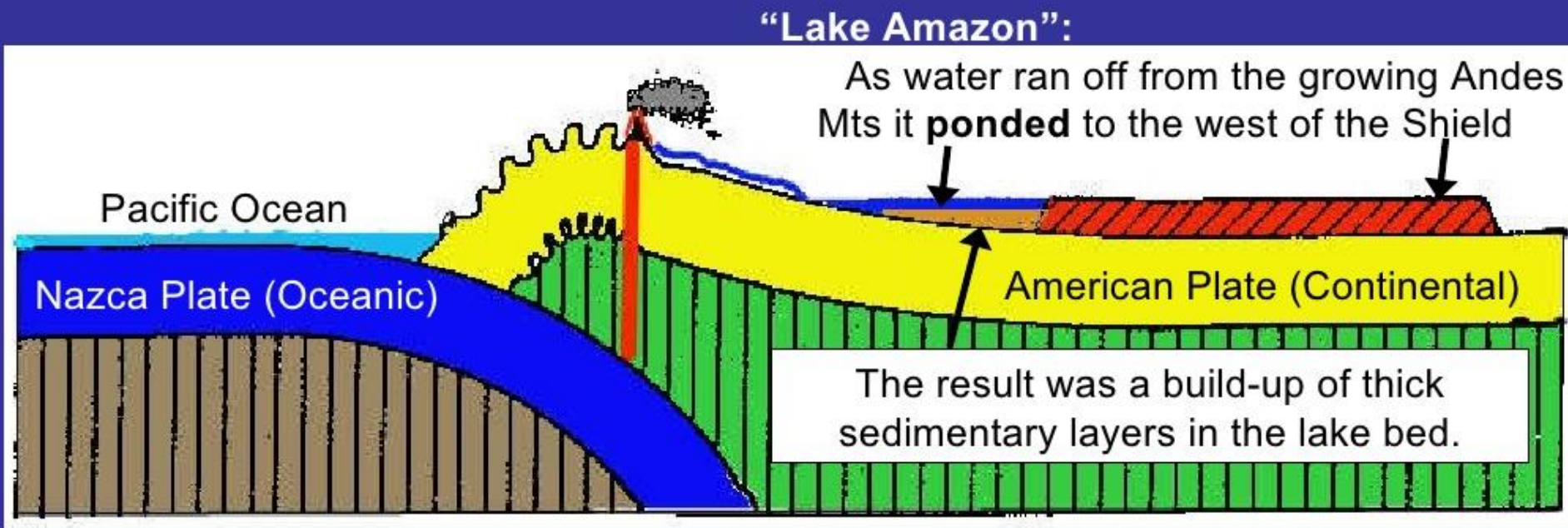
"South America"

"Africa"



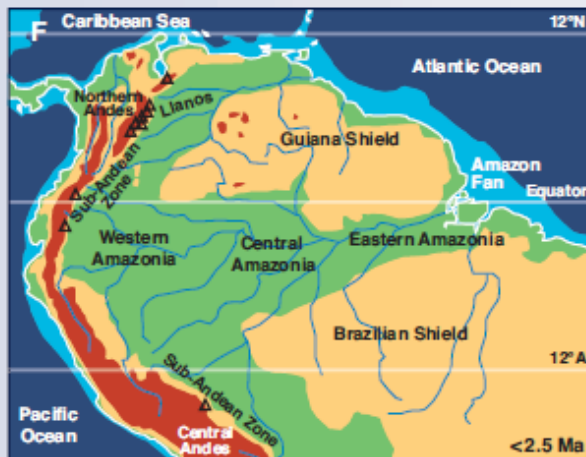
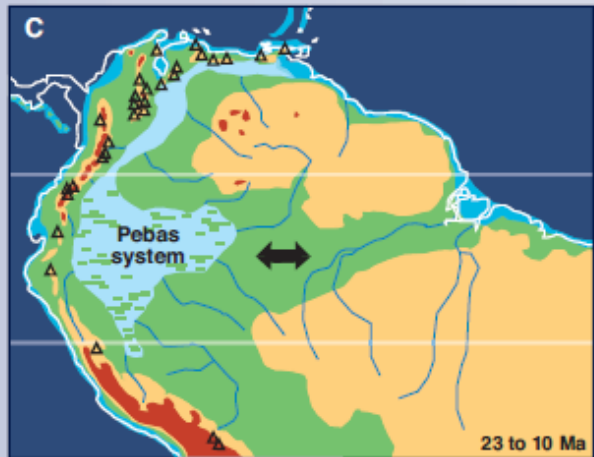
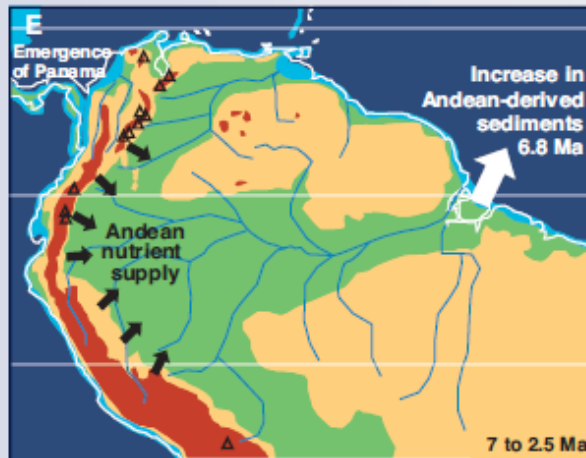
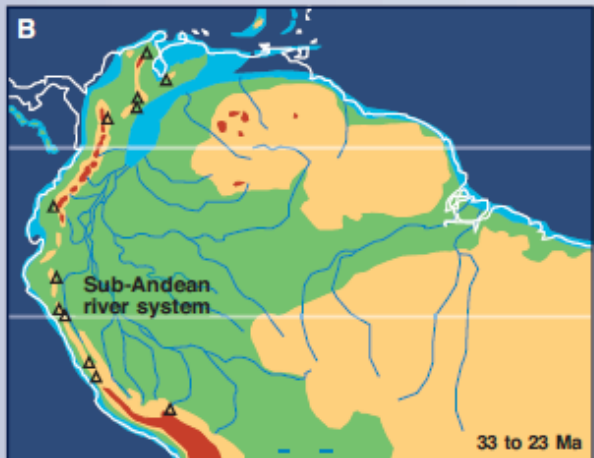
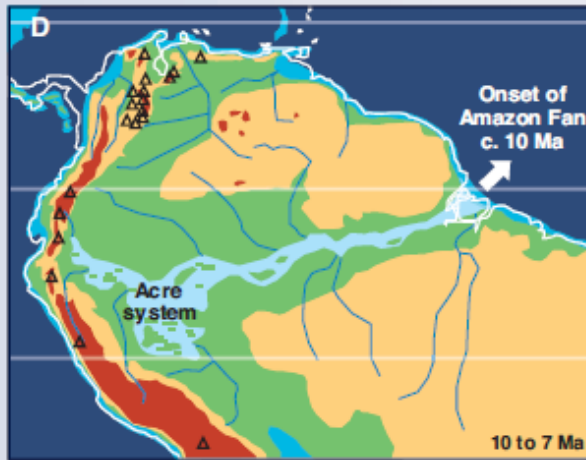
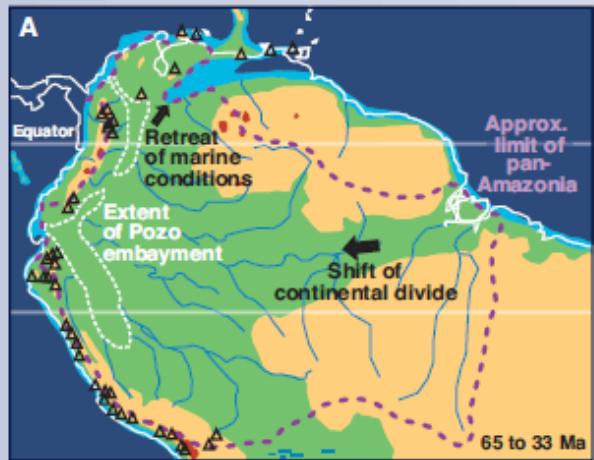
This was before the Andes Mountains were formed by subduction, folding and volcanism. There was no Amazon Basin at this stage.

Stage Two: "ANDES AND AMAZON LAKE STAGE" – approx 180 million years ago  
(continued) (Jurassic)



As water flowed eastwards from the Andes it ponded to the west of the Shield. This led to the formation of the Amazon "Lake", trapped between the Andes and the Shield.



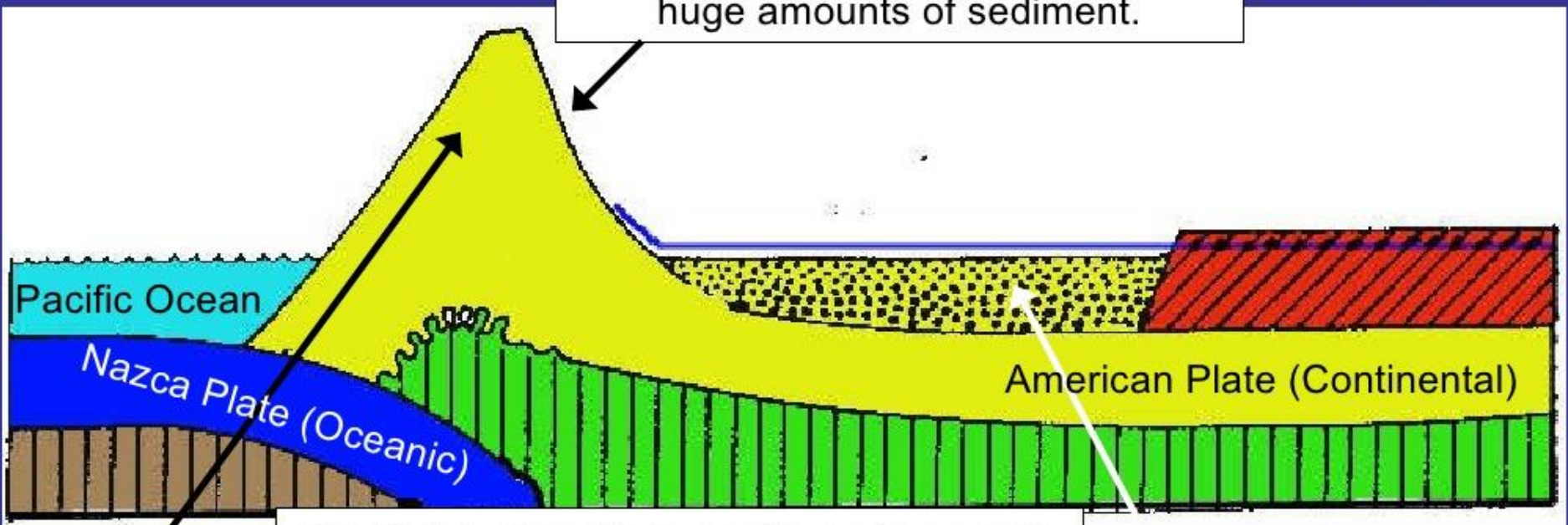


- Alpine
- Mountains/hills
- Lowland
- Lake/wetland
- Coastal seas
- Oceanic
- Rivers (conjectural)
- Apatite fission-track evidence for uplift

Hoorn et al. (2010)

# Stage Three: "AMAZON RIVER STAGE" – approx 24 million years ago

(Oligocene)



Rapid erosion of the Andes supplies huge amounts of sediment.

Pacific Ocean

Nazca Plate (Oceanic)

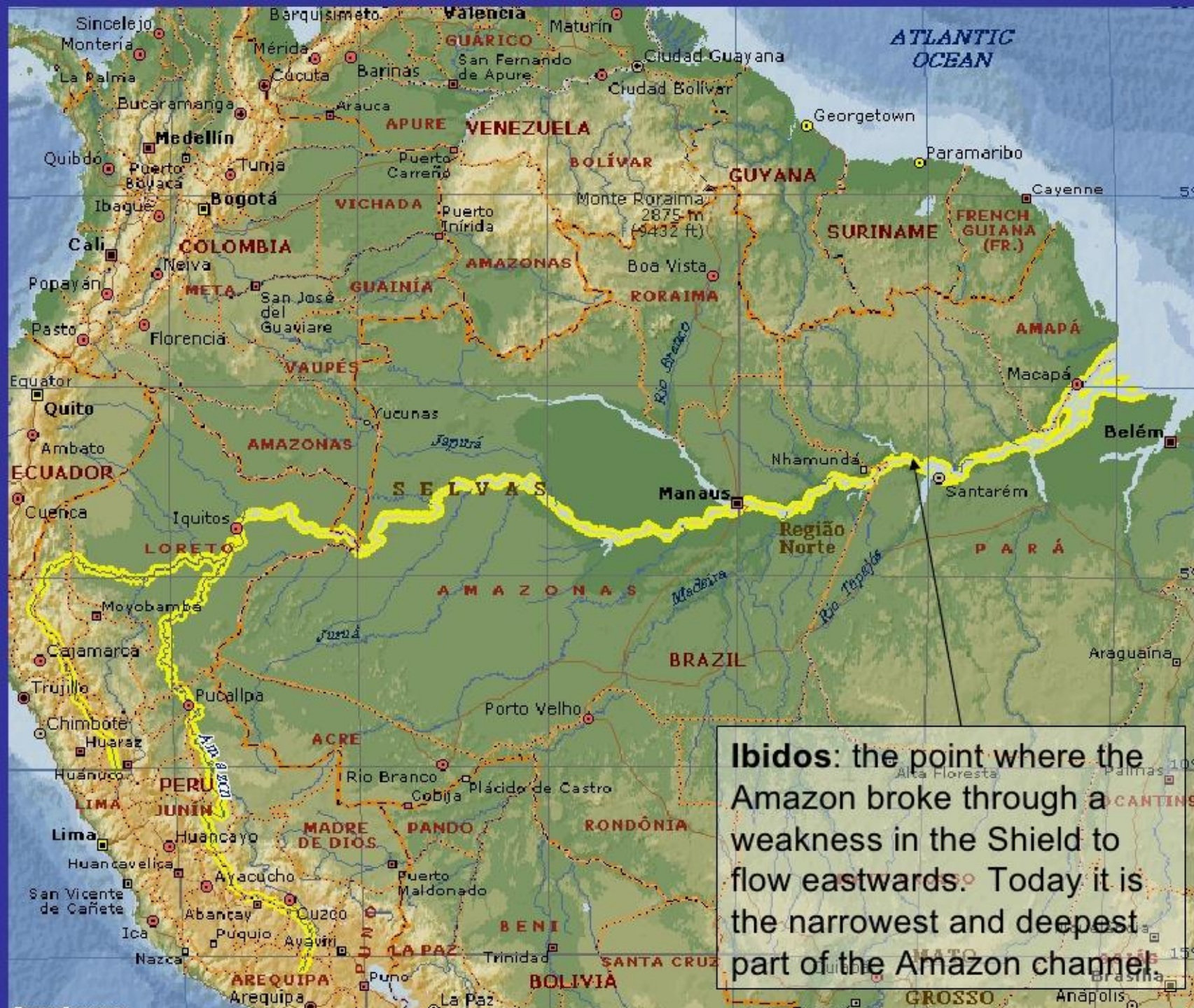
American Plate (Continental)

The Subduction Zone continues to operate

The Andes continue to grow through folding and volcanism.

Vast amounts of sediment were deposited in the lake bed.

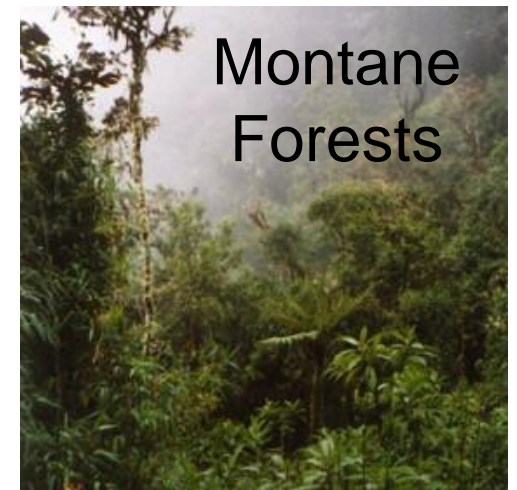
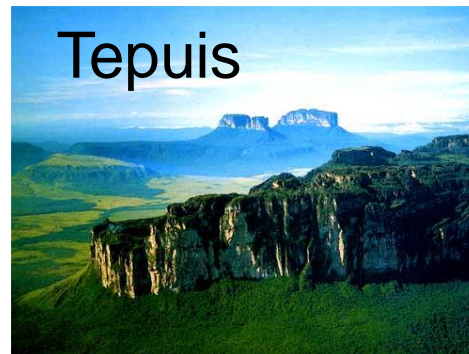




**Ibidos:** the point where the Amazon broke through a weakness in the Shield to flow eastwards. Today it is the narrowest and deepest part of the Amazon channel.



# Ecosystems of the Amazon





## Flooded forests

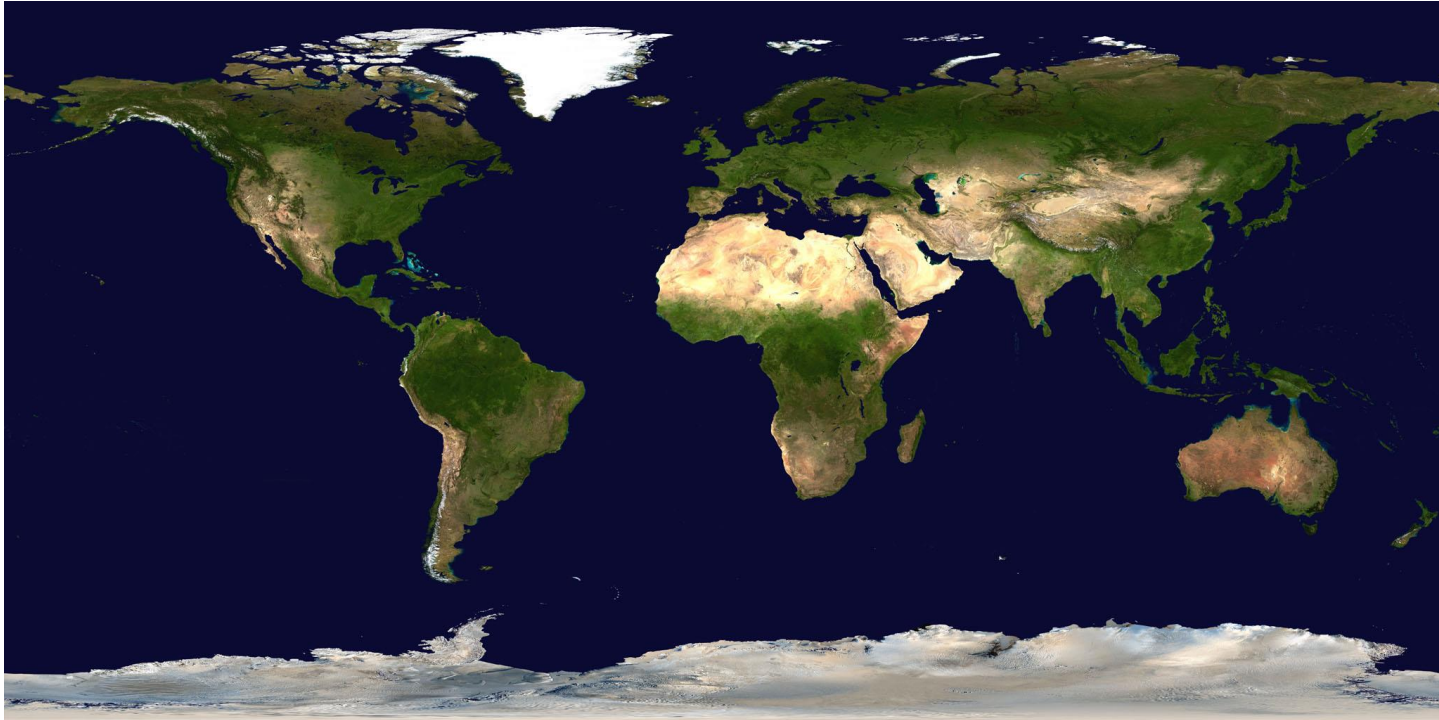


## Upland forests





# The physical environment drives the distribution of organisms (and these ecosystems) at the global scale...



1. Radiation from the sun (drives the climate system)
2. Chemical environment (salinity, gasses, acidity)
3. Climate (temperature and rainfall)
4. Soil (nutrient supply, medium for growth of organisms)



# Weather vs. Climate

Weather: present condition at a site  
(and short term variation in these conditions)

## [Weather in Gainesville, Florida 32653](#)

**84°F** · (°C)

Wind: 10 mph E

Humidity: 66%

AccuWeather

Today



93° / 72°

Thu



93° / 74°

Fri



93° / 76°

Sat



94° / 76°

Sun



96° / 75°

Intellicast

88° / 72°

91° / 73°

95° / 73°

91° / 73°

95° / 73°

iMap Weather

93° / 71°

92° / 73°

93° / 74°

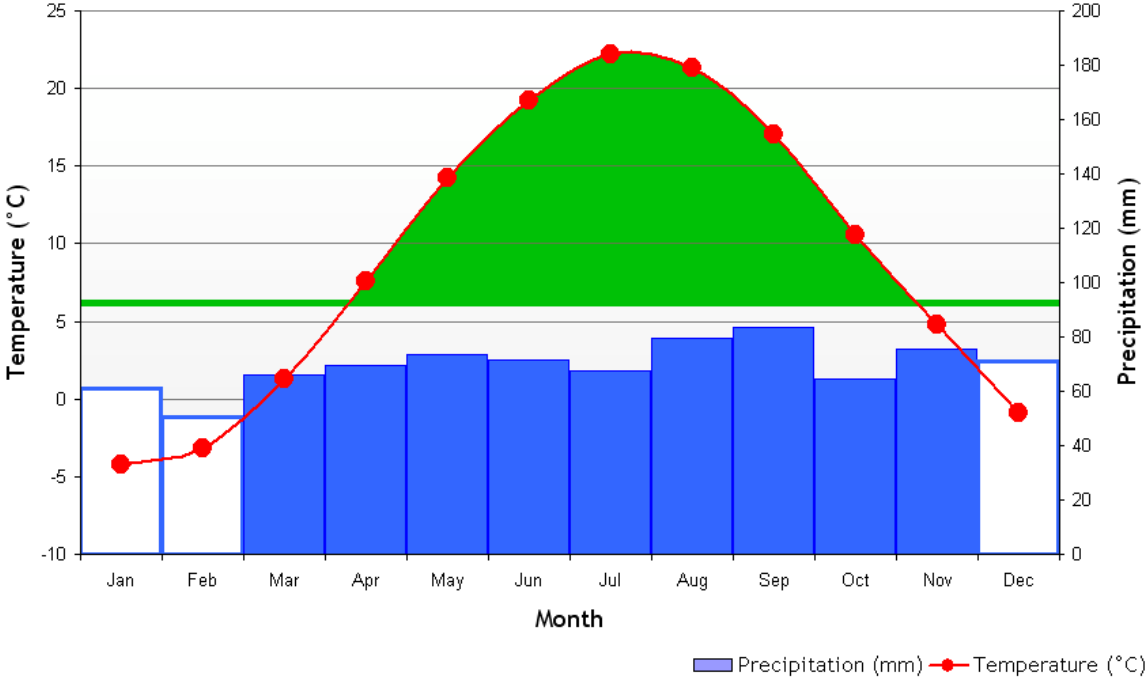
95° / 74°

96° / 73°

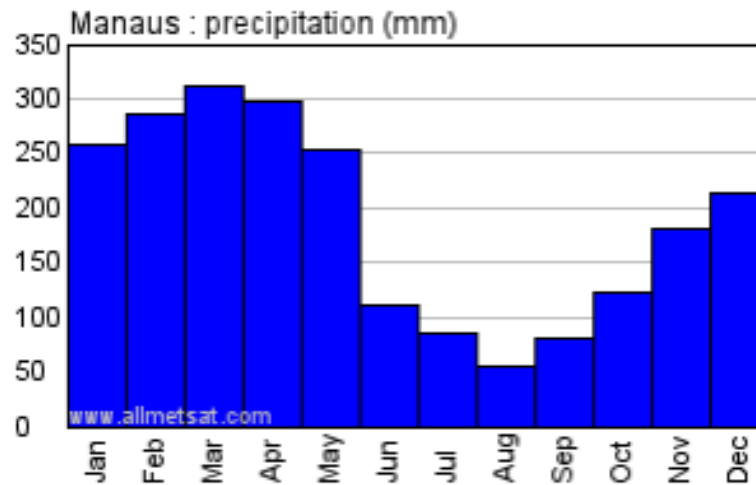
[10 Day](#) · [Hourly](#) · [Radar](#) & [Maps](#)

# Climate: the average weather condition over longer time scales (e.g., 30 yr avg.)

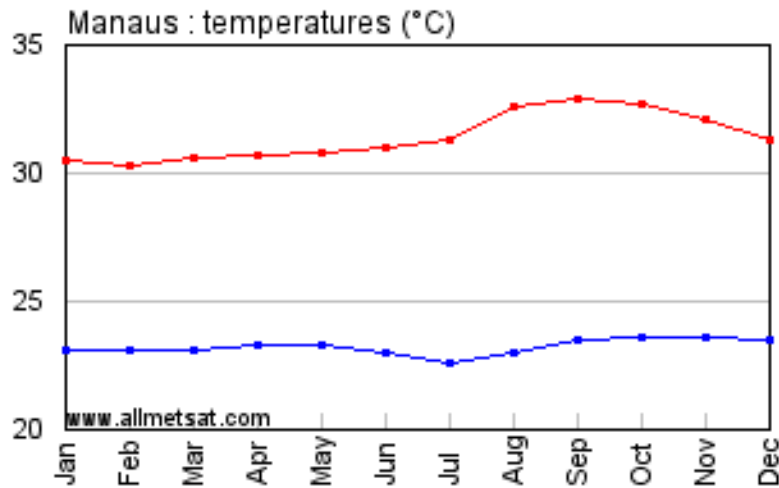
Climograph for Toronto, Ontario



## Manaus, Amazonas, Brazil Average Yearly Precipitation

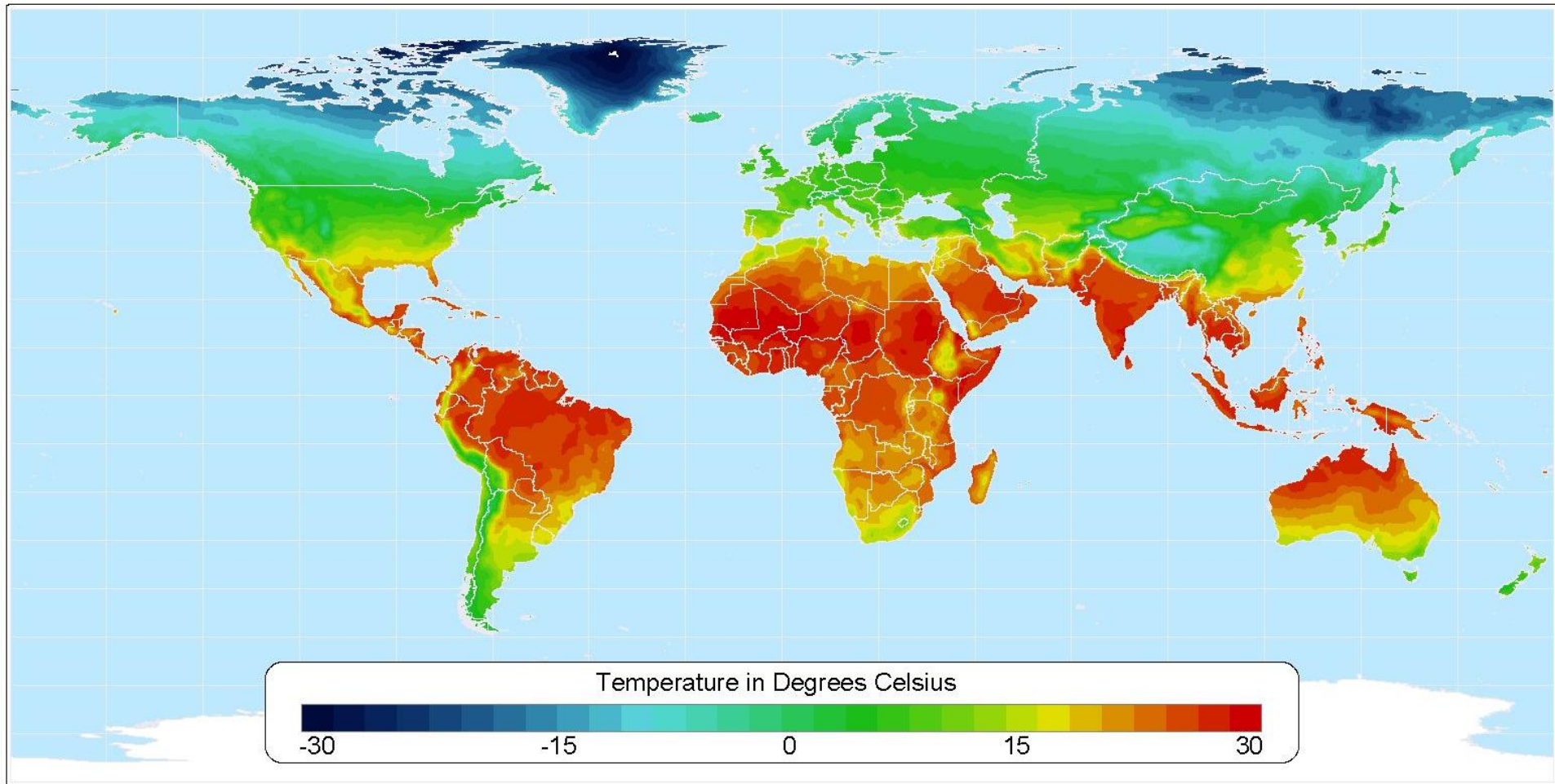


## Manaus, Amazonas, Brazil Average Annual Temperatures



# Broad generalization: the tropics experience

## 1) high & constant average temperature

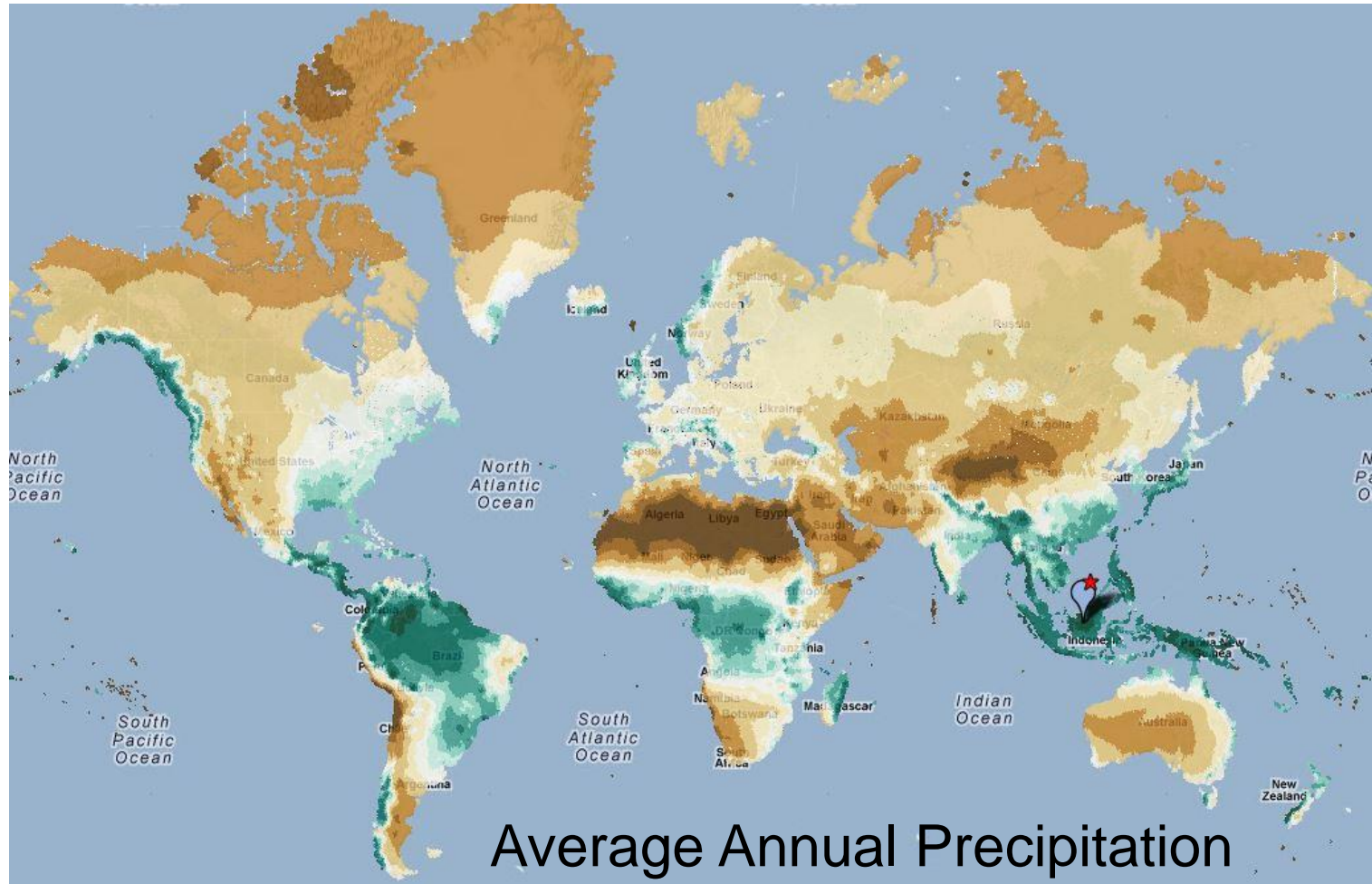


Data taken from: CRU 0.5 Degree Dataset (New, et al.)



# Broad generalization: the tropics experience

## 2) high (often seasonal) precipitation



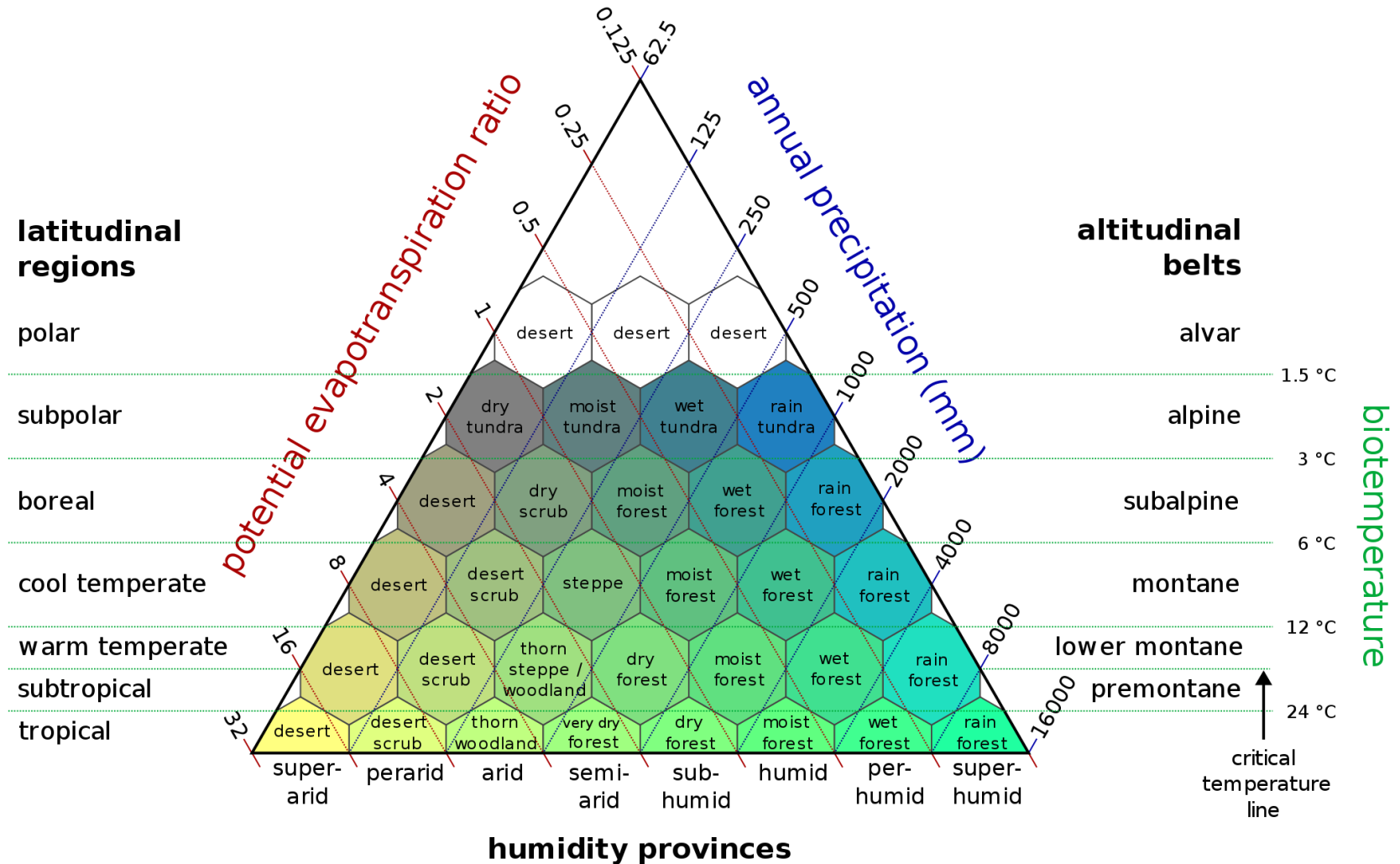
1.61..50 50..100 100..250 250..500 500..750 750..1000 1000..1250 1250..1500 1500..2000 2000..3000 3000..11000

# Holdridge's Life Zones: predict terrestrial ecosystem in a region with

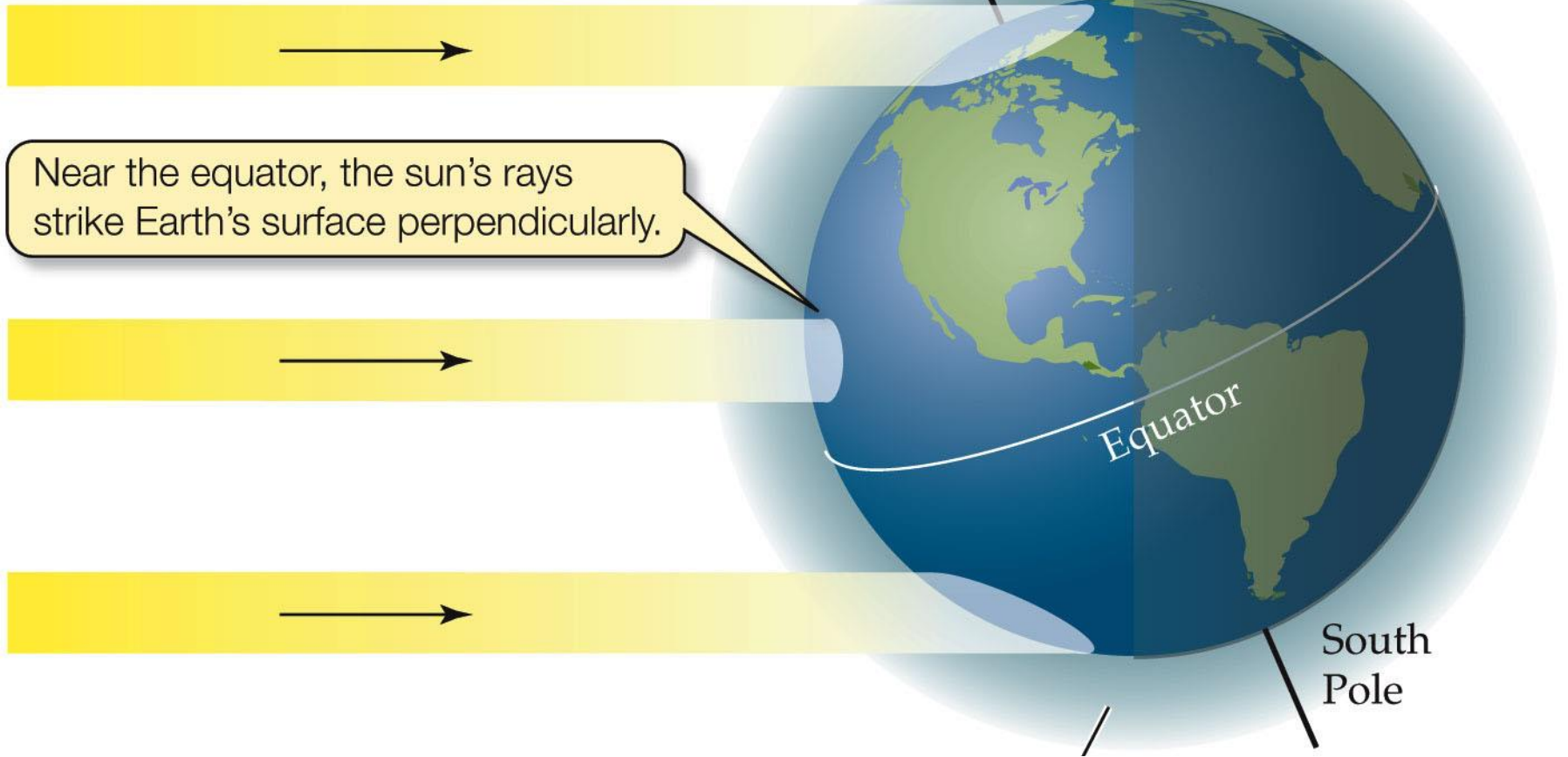
(1) precipitation

(2) biotemperature

(3) Ratio of mean potential evapotranspiration to mean annual precipitation



Toward the poles, the sun's rays are spread over a larger area and take a longer path through the atmosphere.

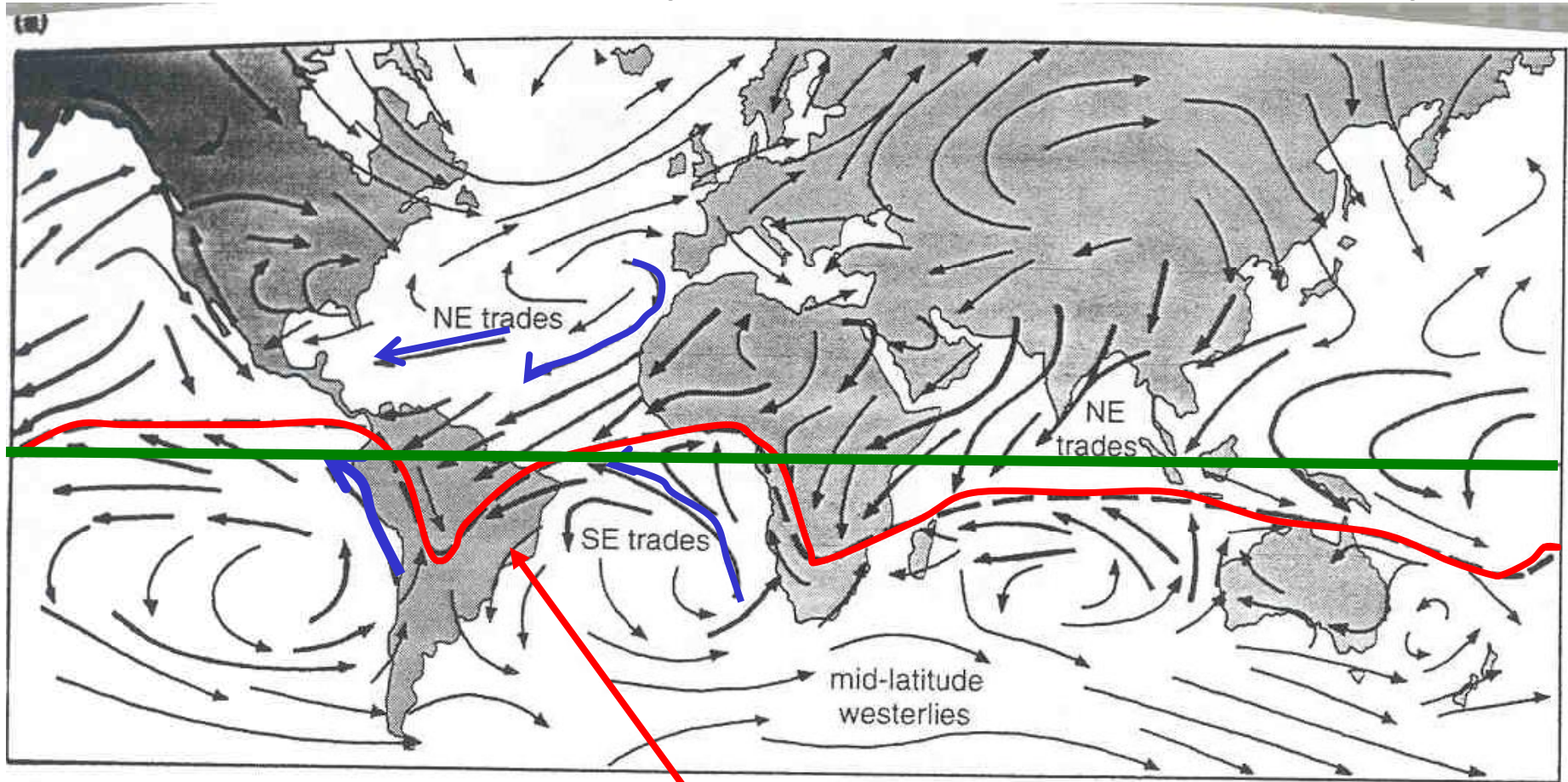


Near the equator, the sun's rays strike Earth's surface perpendicularly.

**Result:** Longer Days, More sunlight



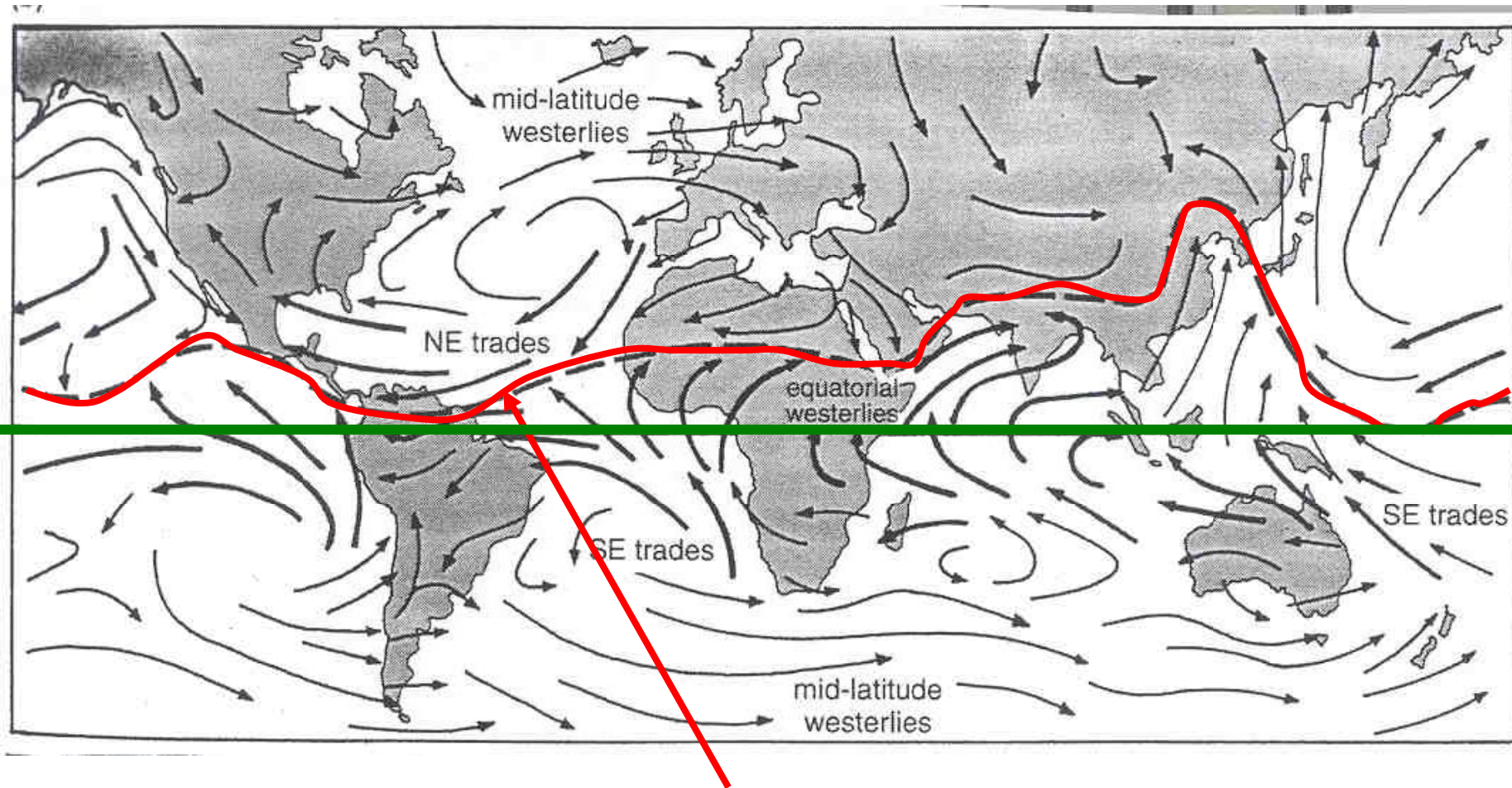
Cells and the Rotation of the Earth (Coriolis Effect) cause deflections of the wind (which influence surface currents)



Inter-Tropical Convergence Zone



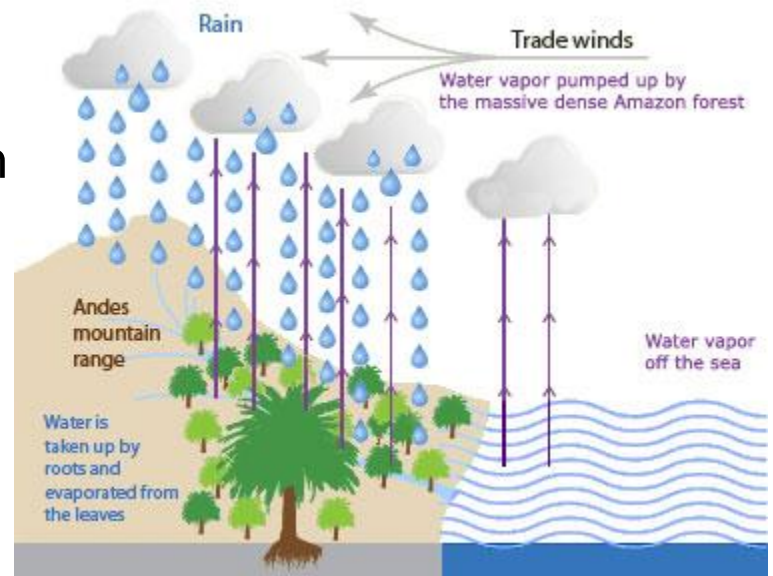
## The ITCZ moves with the seasons



Inter-Tropical Convergence Zone (Global: [ITCZ](#) Regional: [ITCZ](#))

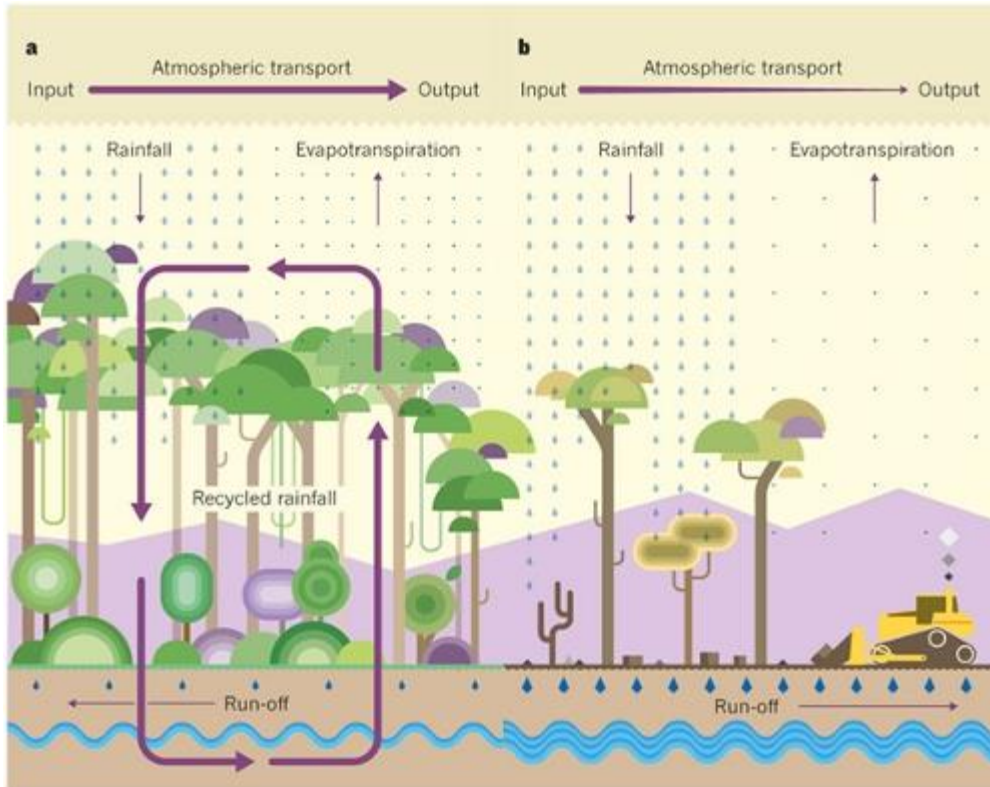
# Rainfall: Where does it all come from?

Warm, moist air cools as it rises, condensing water which falls as precipitation

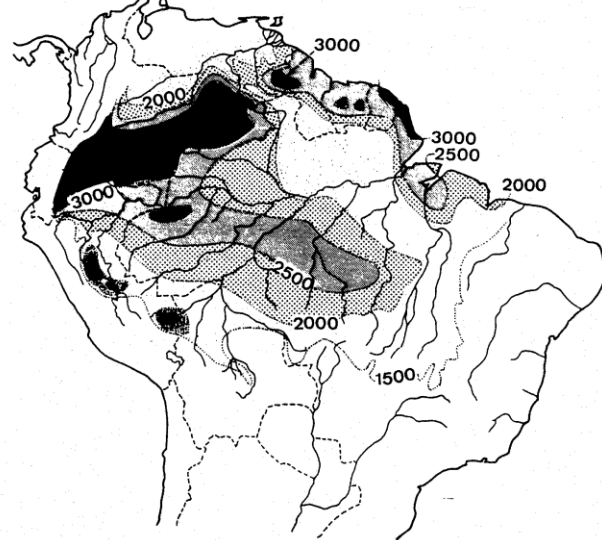
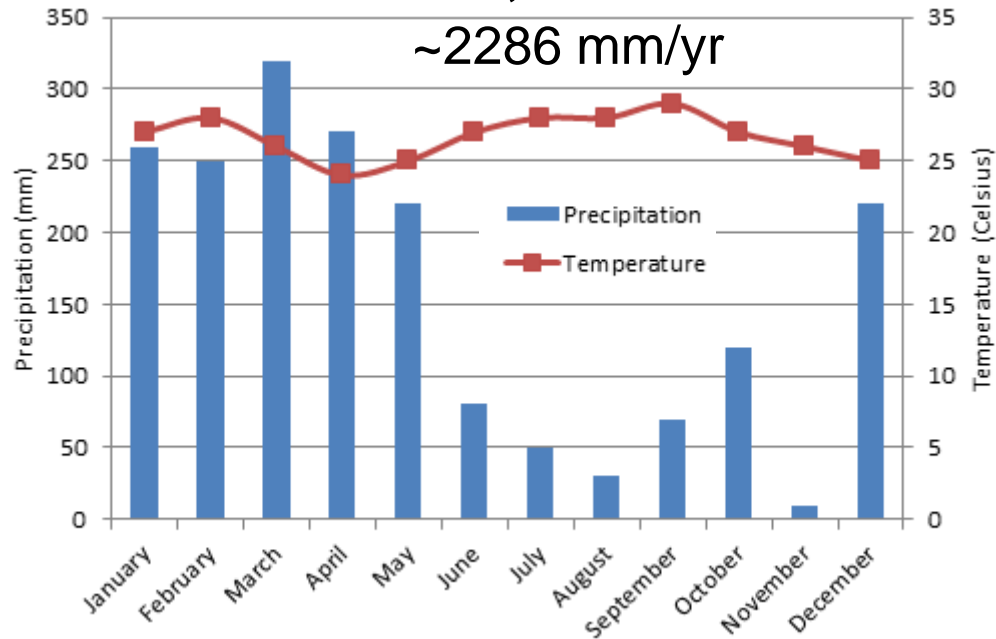


~50% brought into basin by eastern trade winds

~50% from evapotranspiration of forests



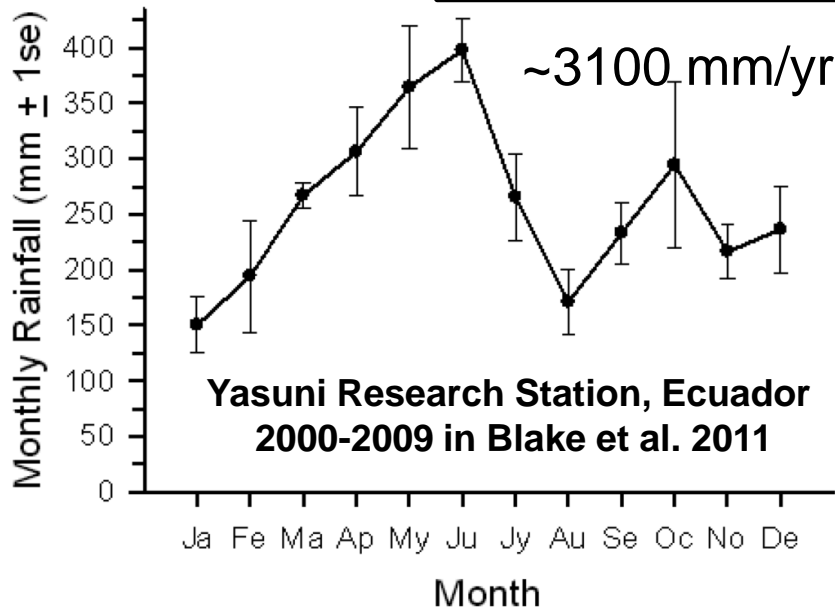
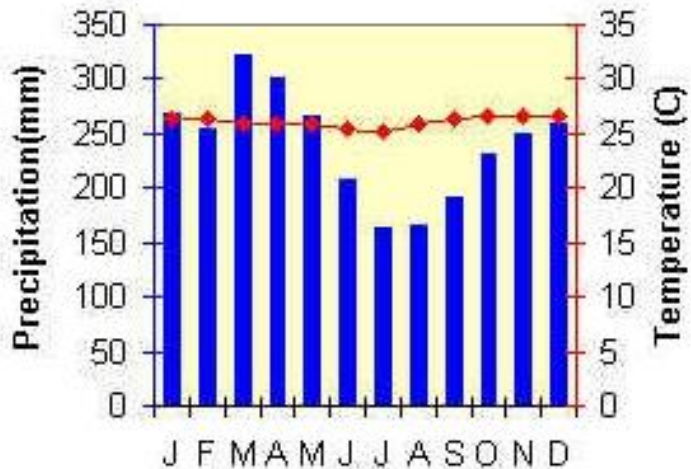
# Manaus, Brazil



Manu National Park, Peru  
 ~2000 mm/yr  
 Oct-April: rainy season  
 May-Sept: <100mm/month

# Iquitos, Peru

~2616 mm/yr





# Ecology of Amazon is driven by two major physical factors

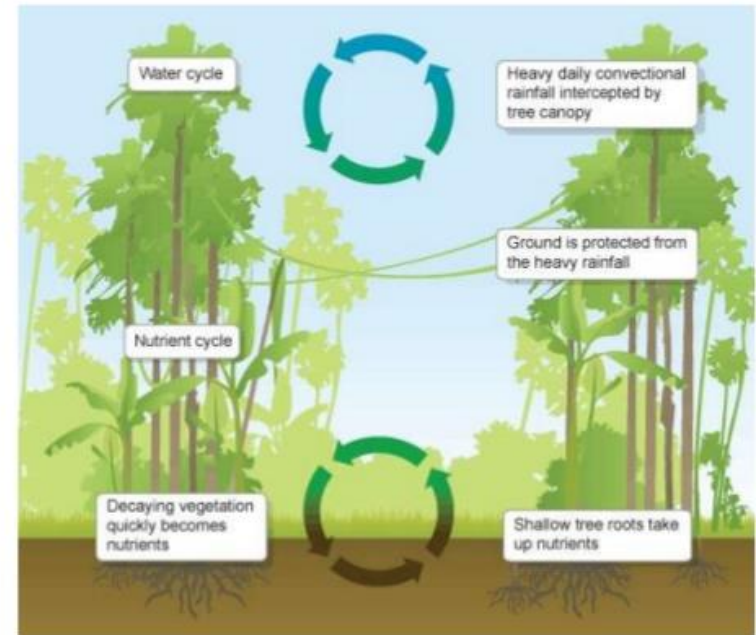
## #2 Soils (and nutrient cycling)



oxisol



dark earth or  
terra preta



***Like rainfall, there is no “typical” Amazonian soil or pattern of nutrient cycling – both can be highly variable***



# What Contributes to Variation in Soils?

- Climate
- Topography
- Parent Material
- Biota
- Age of Site
- Human Activities



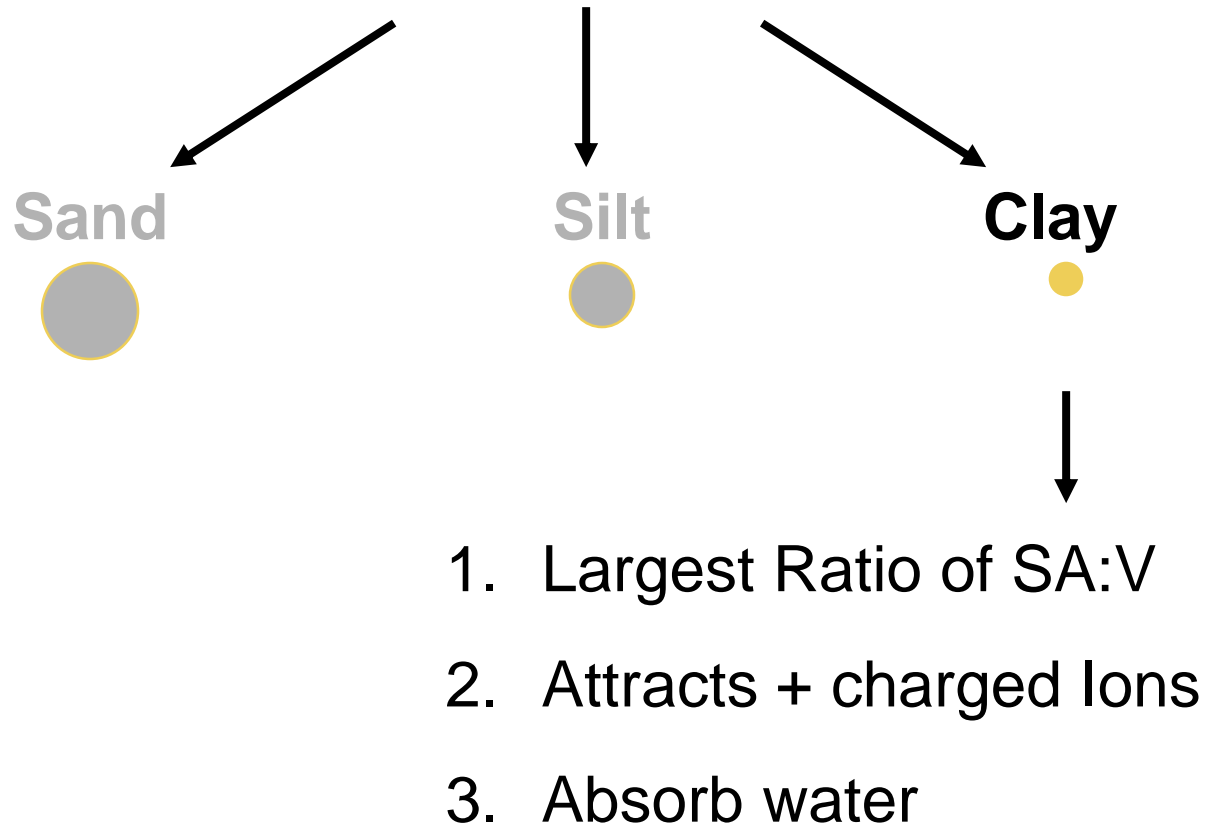
oxisol



dark earth or  
terra preta

# Important Properties: **TEXTURE** and **CHEMISTRY**

Texture: size distribution of mineral particles



# Soil Chemistry

**Macronutrients:**  
Needed in large  
amounts

**Micronutrients:**  
Needed in trace  
amounts



**TABLE 21.2**

**Plant Nutrients and Their Principal Functions**

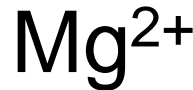
Nutrients	Principal functions
Carbon, hydrogen, oxygen	Components of organic molecules
Nitrogen	Component of amino acids, proteins, chlorophyll, nucleic acids
Phosphorus	Component of ATP, NADP, nucleic acids, phospholipids
Potassium	Ionic/osmotic balance, pH regulation, regulation of guard cell turgor
Calcium	Cell wall strengthening and functioning, ionic balance, membrane permeability
Magnesium	Component of chlorophyll, enzyme activation
Sulfur	Component of amino acids, proteins
Iron	Component of proteins (e.g., heme groups), oxidation–reduction reactions
Copper	Component of enzymes
Manganese	Component of enzymes, activation of enzymes
Zinc	Component of enzymes, activation of enzymes, component of ribosomes, maintenance of membrane integrity
Nickel	Component of enzymes
Molybdenum	Component of enzymes
Boron	Cell wall synthesis, membrane function
Chlorine	Photosynthesis (water splitting), ionic and electrochemical balance

Sources: Salisbury and Ross 1992; Marschner 1995.

**ECOLOGY, Table 21.2**



Nutrients must be present in simple, water soluble forms for plant roots to take them up



Many of these are positively charged ions...this will be important later!

## 2) Water Retention Capacity

*Determined by type of particles in soil & their distribution throughout the soil*

**Clay Soils:** Hold large volumes of water

**Sandy Soils:** Drain Well



What does this mean for nutrient availability?

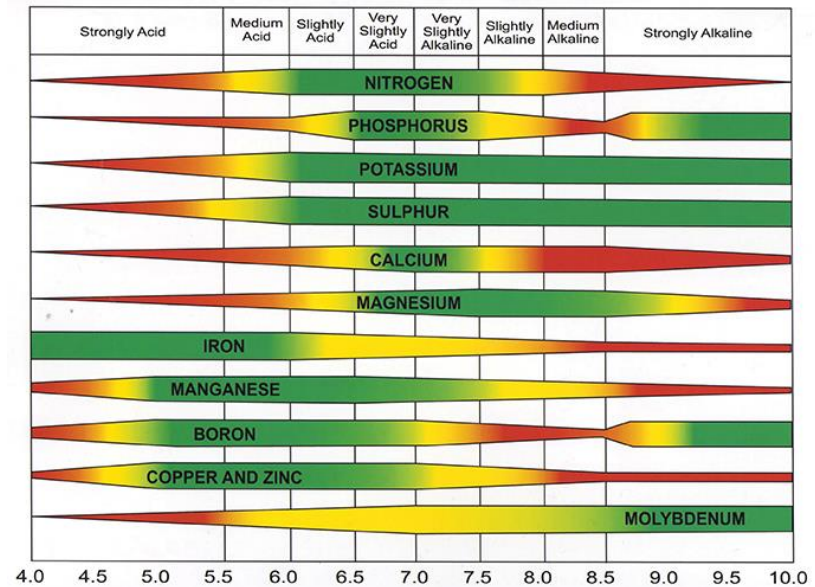
### 3) Soil Chemistry: soil pH strongly influences nutrient availability

↓ pH: large concentration of H<sup>+</sup> (acidic)

↑ pH: low concentration of H<sup>+</sup> (basic or alkaline)

*A little acidity promotes nutrient availability. How?*

How soil pH affects availability of plant nutrients.

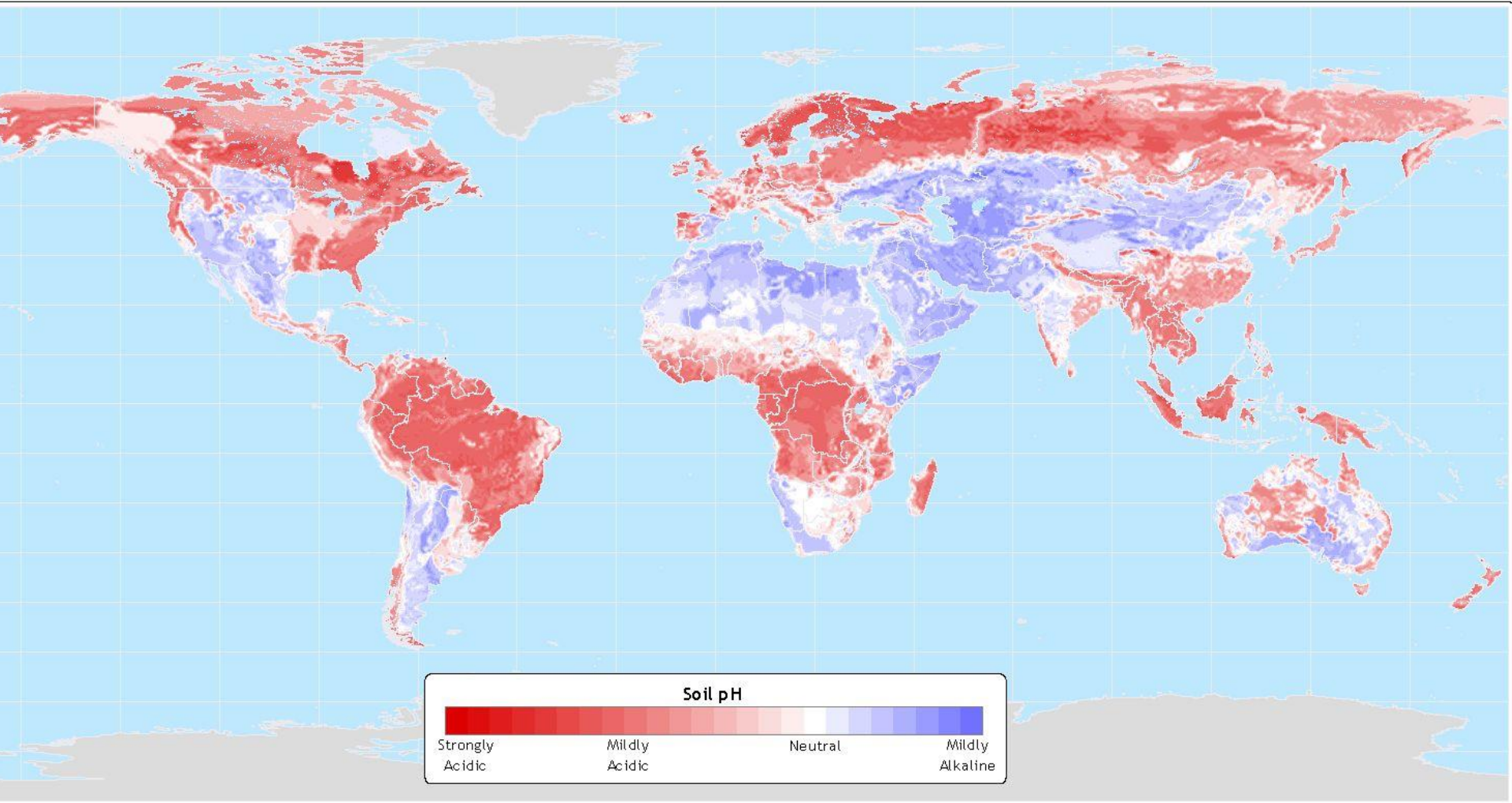


*Below about pH 5.5...nutrients leach out of the soil.*

*Maximum soil fertility: pH = 6.0-7.2*



Typical tropical soil pH is 5.0-6.0



from: IGBP-DIS Global Soils Dataset (1998)

**Atlas of the Biosphere**  
Center for Sustainability and the Global Environment  
University of Wisconsin - Madison

**Like rainfall, there is no “typical” tropical soil...**

***...although in general they are deeply weathered,  
highly acidic and highly leaching***

**Oxisols:** most weathered, typical tropical soils, high in iron & aluminum oxides (making them red yellow)

**Ultisols:** highly leached

50%





**Entisols (Alluvial soils):** young, recently deposited soils carried by water

**Alphisol:** least weathered, younger soils, often in montane areas 1500-2000 m

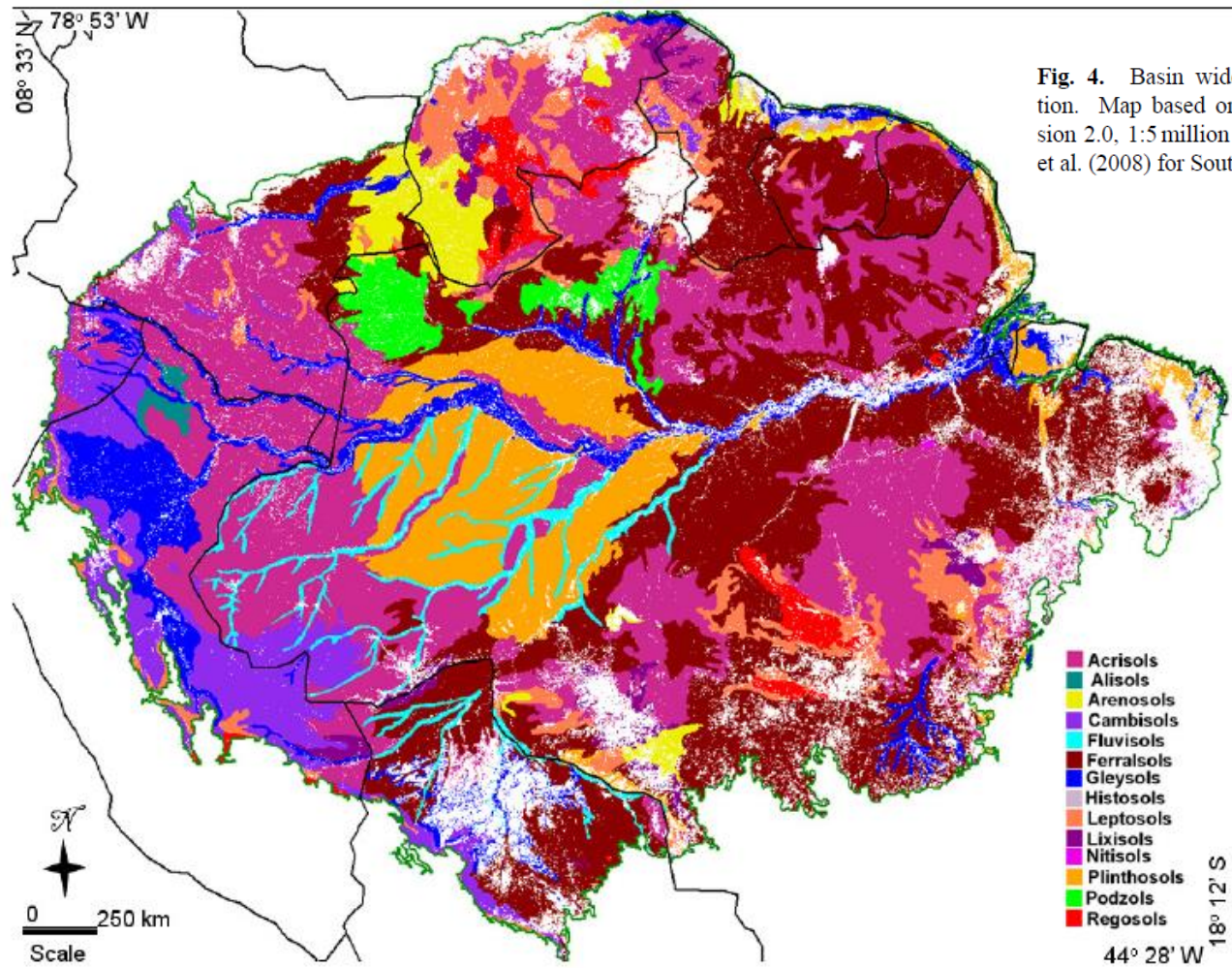
**Inceptisols:** Derived from volcanic eruptions



Varzea, BR



Arenal, CR



**Fig. 4.** Basin wide distributions of soils under forest vegetation. Map based on the SOTERLAC–ISRIC soil database (version 2.0, 1:5 million scale) and the vegetation database of Saatchi et al. (2008) for South America.

Biogeosciences, 8, 1415–1440, 2011  
[www.biogeosciences.net/8/1415/2011/](http://www.biogeosciences.net/8/1415/2011/)  
 doi:10.5194/bg-8-1415-2011  
 © Author(s) 2011. CC Attribution 3.0 License.



## Soils of Amazonia with particular reference to the RAINFOR sites

C. A. Quesada<sup>1,2</sup>, J. Lloyd<sup>1,3</sup>, L. O. Anderson<sup>4</sup>, N. M. Fyllas<sup>1</sup>, M. Schwarz<sup>5,\*</sup>, and C. I. Czimczik<sup>5,\*\*</sup>



# Variability in soil quality has major ecological impacts



**Plant fertility,  
phenology**



**Animal abundance,  
home range sizes,**

**Human settlement and  
agriculture**



**Forest biomass**





So if tropical soils are so terrible, where did all this productivity come from?





**Most of the nutrients in these ecosystems are in  
the living tree biomass**



# How do nutrients get back into the soils?

- Rain
- Exposure of new parent material
- River deposits
- Volcanoes
- Outer space
- Nutrient Cycling / Decomposition





**Input: leaves,  
stems, roots, dead  
animals**



**Broken down into  
progressively  
smaller fragments**



**Bacteria / fungi  
release enzymes that  
convert organic  
macromolecules into  
inorganic nutrients**



**Small organic  
compounds and  
inorganic nutrients  
are released into  
solution**



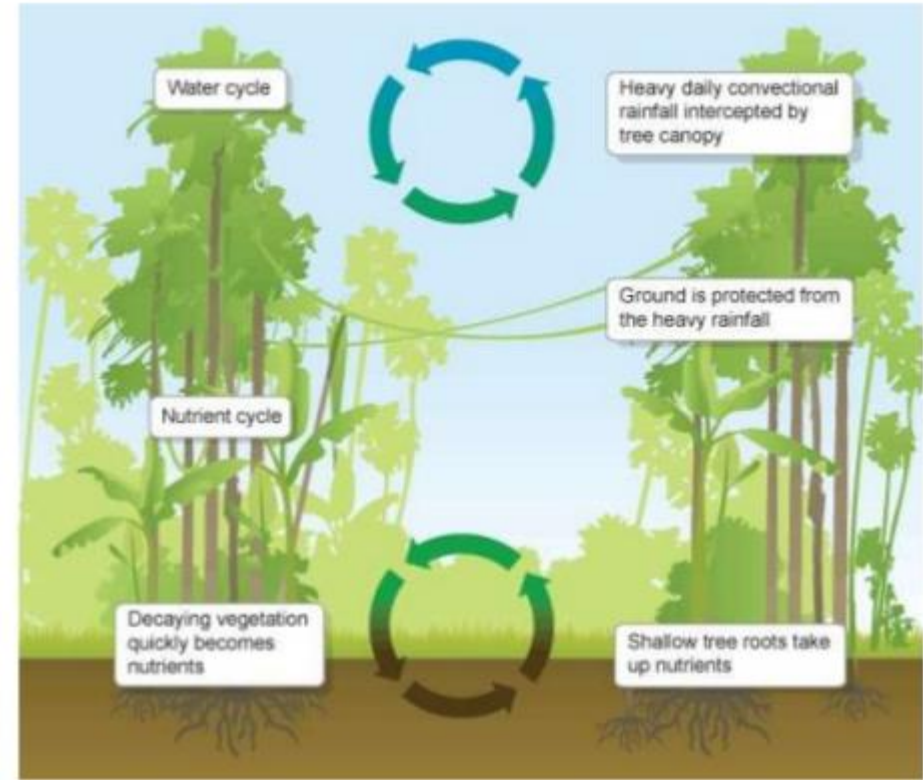
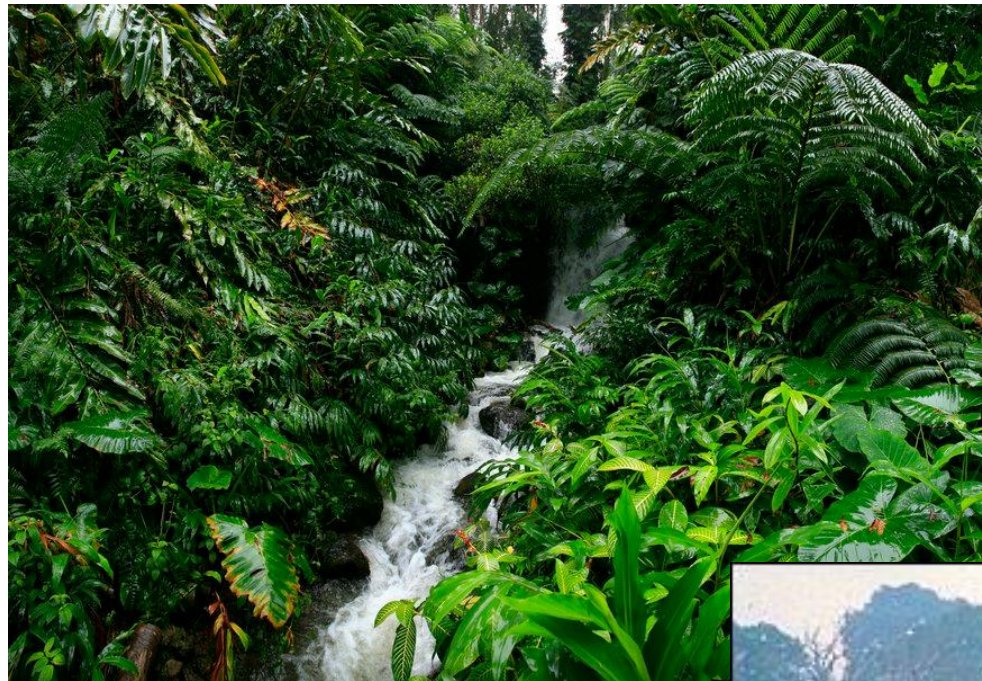


**When tropical forests are cleared and burned, those nutrients are lost in smoke and ash and soil erosion**





# Terborgh's "Paradox of Luxuriance"





# STRUCTURE & DYNAMICS OF AMAZON FORESTS





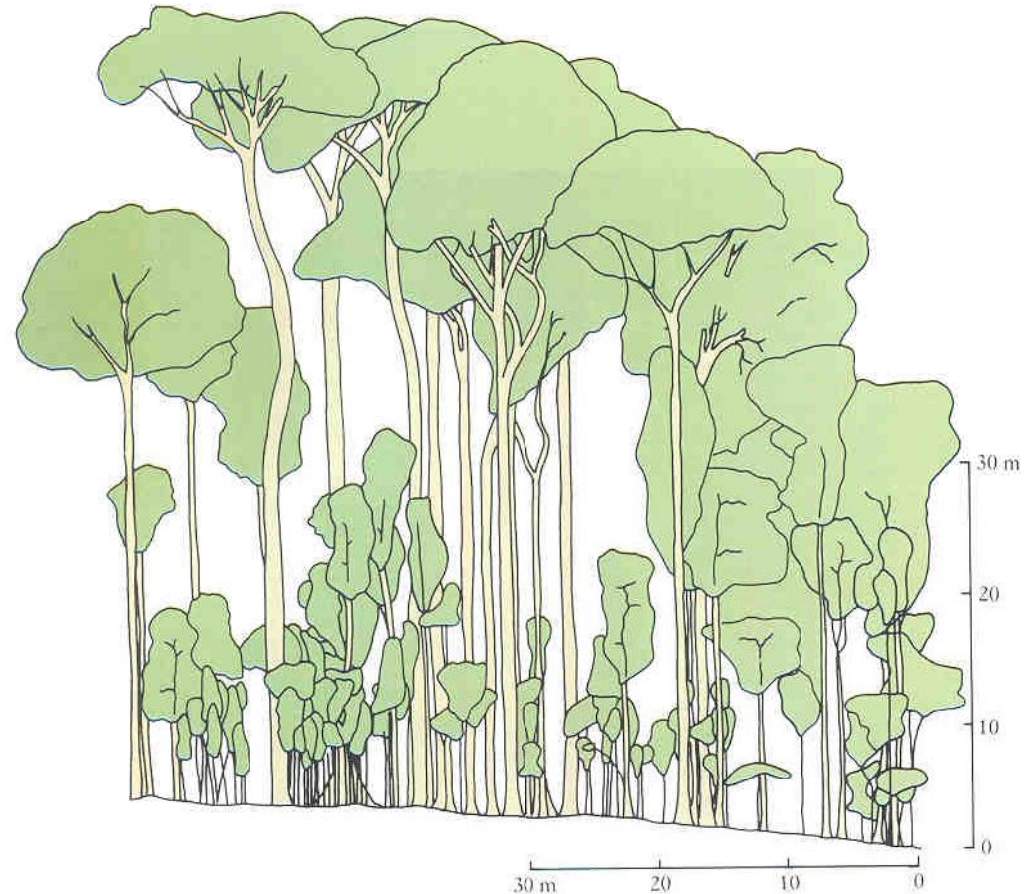
# 1. Tropical forests are “stratified”

Emergent (45 m)

Canopy (25-45 m)

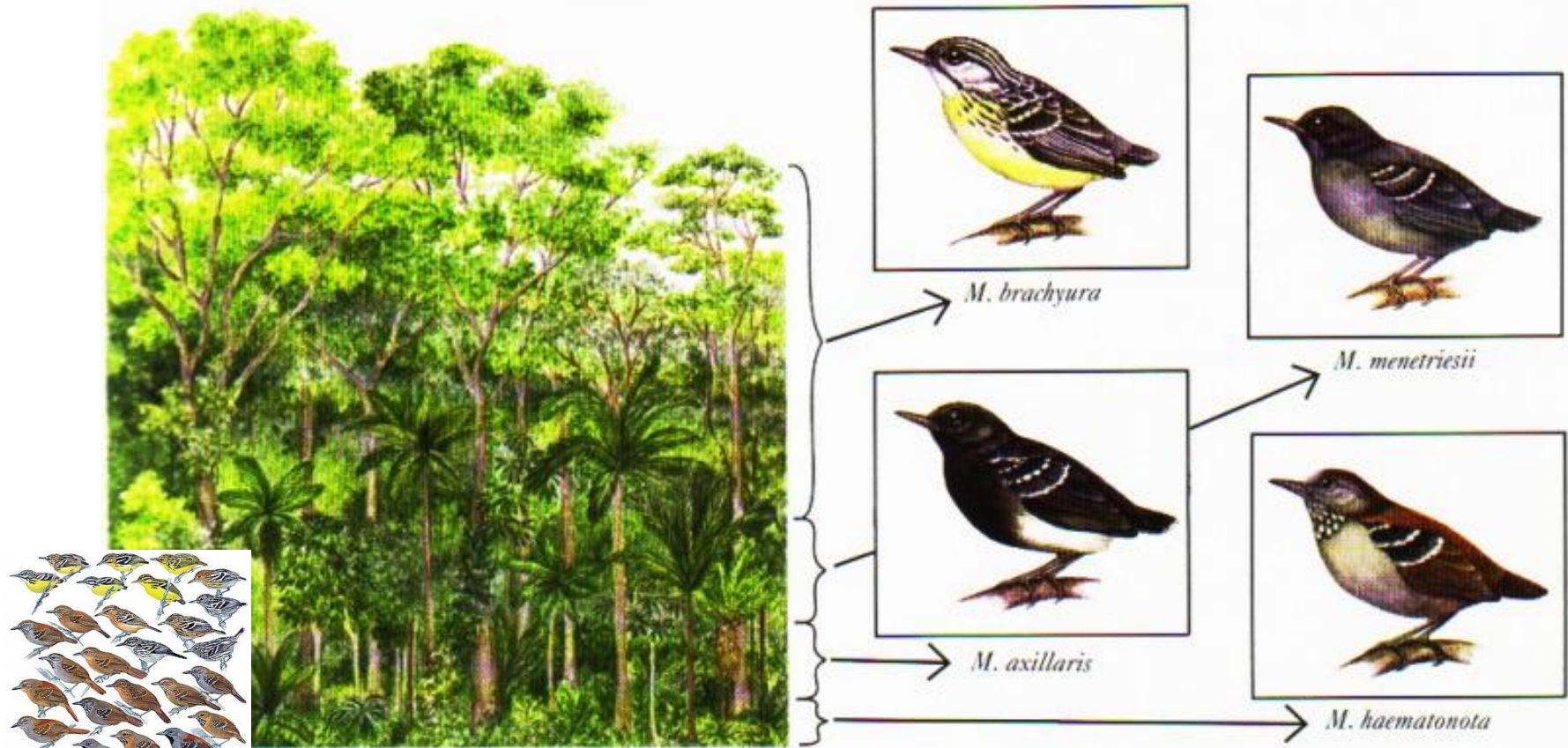
Mid or Subcanopy (5-25 m)

Understory < 5 m (shrubs, herbs, palms)



The profile of a lowland mixed dipterocarp forest in Brunei, Borneo, hints at the presence of distinct strata. Dipterocarps tower above the many lesser trees that occupy the forest understory. “Flying” frogs, lizards, lemurs, and squirrels have evolved sail-like structures that allow them to glide from one lofty crown to another in Southeast Asian forests.

Result of stratification: many different habitats (**heterogeneity**) and opportunities for “species packing”



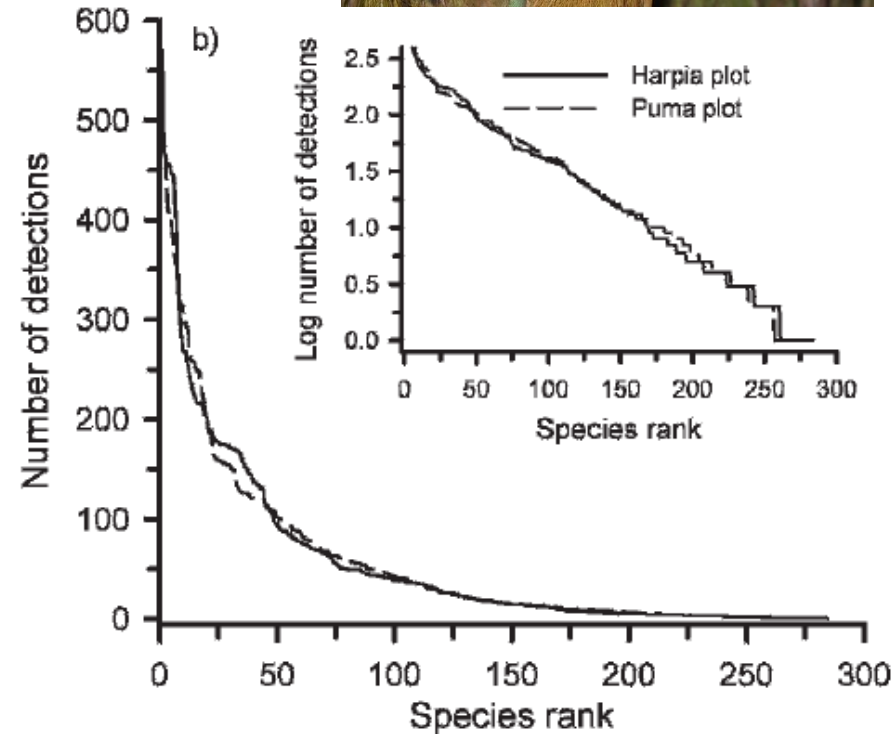
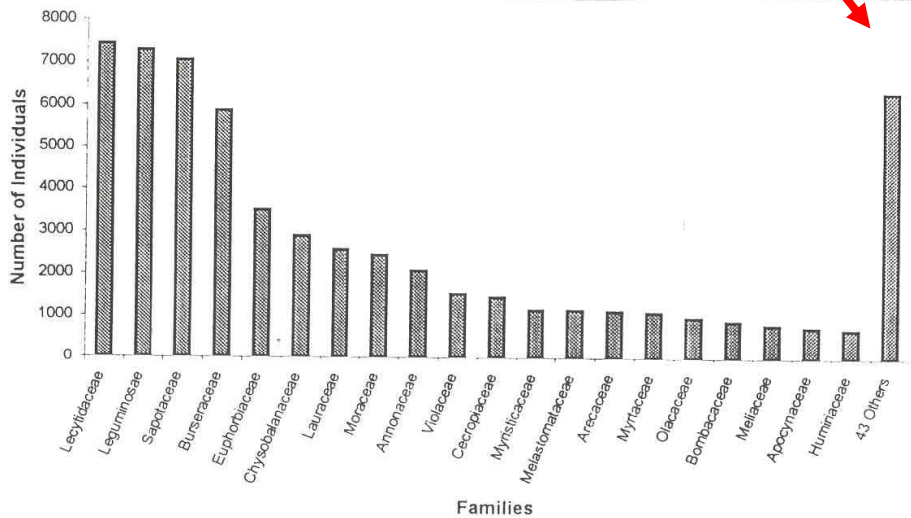
Four species of antwrens (genus *Myrmotherula*) show vertically stacked foraging zones in an Amazonian forest. Such close ecological relationships are an indication of tighter species packing in tropical guilds.



## 2. Within a location: most species are rare



each of 3 most common =  
43 others



### 3. Tropical forests are characterized by interactions (e.g., seed dispersal mutualisms)

Vertebrates disperse seeds from 60-90% of plant species in tropical forests





# Wide diversity of animals consume fruits and act as seed dispersers



# Plants largely depend on animal seed dispersal for recruitment – Why?

Seed/seedling recruitment is limited by:

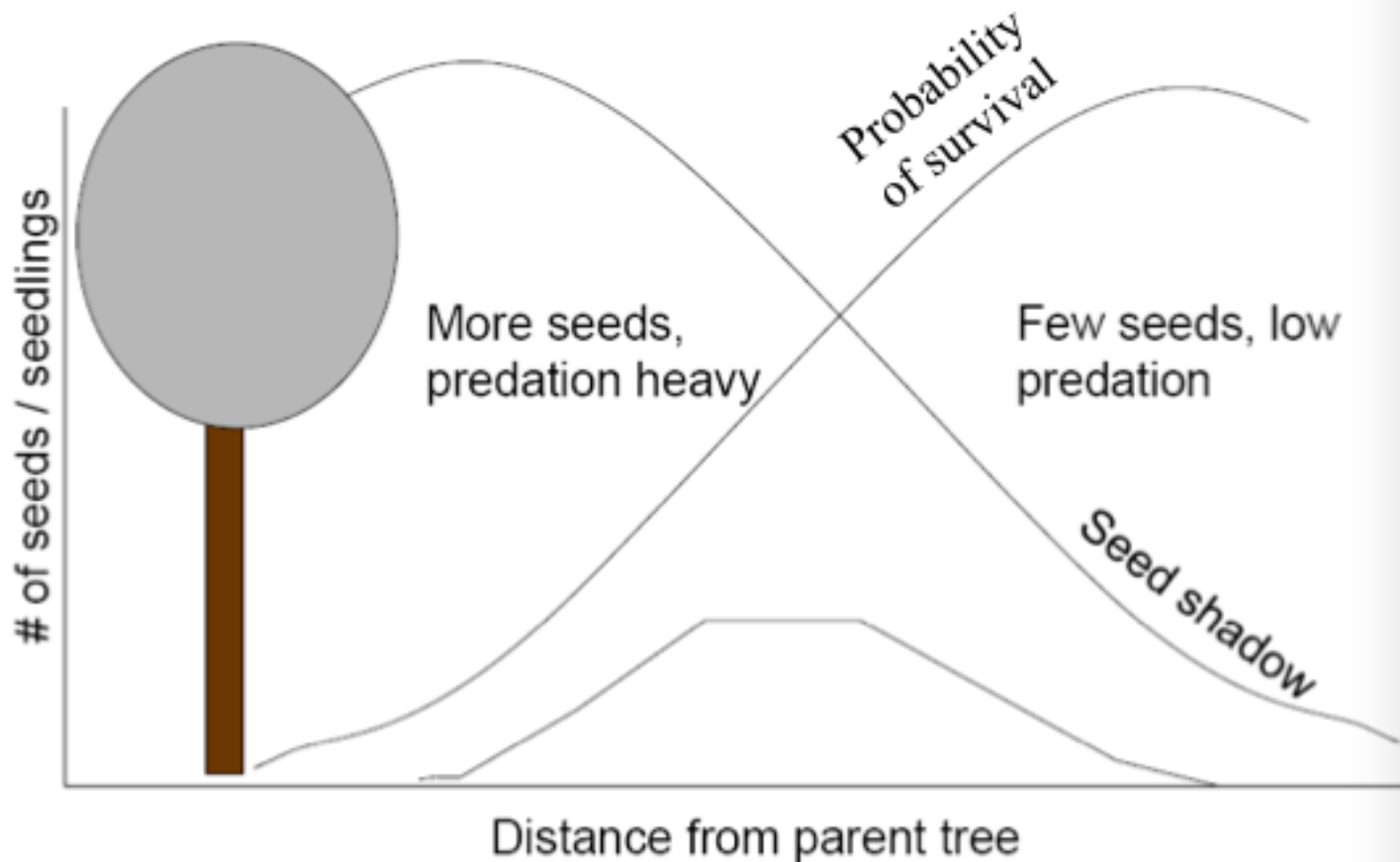
- Light
- Soil nutrients/other microsite conditions
- Predators/pathogens
- Competition



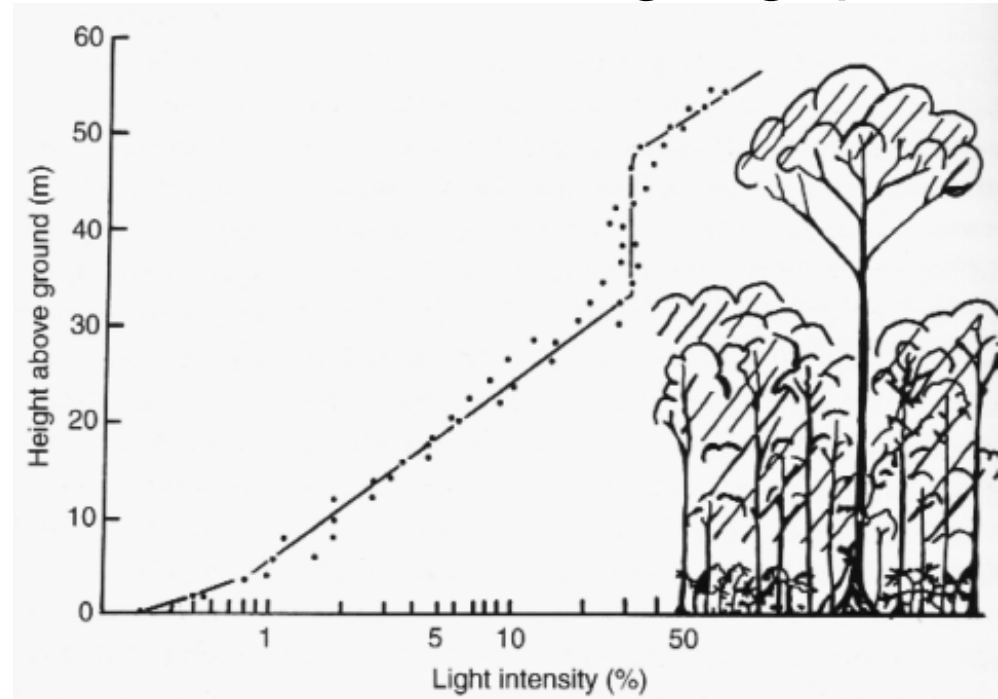


Seed dispersers provide “escape” from distance- and density-dependent mortality

## Janzen-Connell Hypothesis



Seed dispersers provide opportunity to “colonize” favorable sites for recruitment, such as light gaps



**New  
Gap**

**Old  
Gap**

**Intermediate  
Gap**





## 4. Tropical forests are characterized by high local and regional diversity



# Tree Diversity

<u>Location</u>	<u>Tree Species</u>
Appalachians (USA)	~50-60
North America	~620
BCI (15 km <sup>2</sup> , Panama)	~365
Yasuni (Ecuador)	~1100/25 ha
Manaus (Brazil)	~1300
Amazonia	6000?

+ high local diversity





# Total Floristic Diversity

<u>Location</u>	<u>Species of Vascular Plants</u>
BCI (Pa)	1320 (118 families)
La Selva (CR)	1668 (121 families)
Cocha Cashu (Pe)	1856 (130 families)
Ducke (Br)	>2000 (~135 families)
Amazonia	> 50,000



CLIMBERS



Epiphytes / Hemiepiphytes

Herbs



Palms

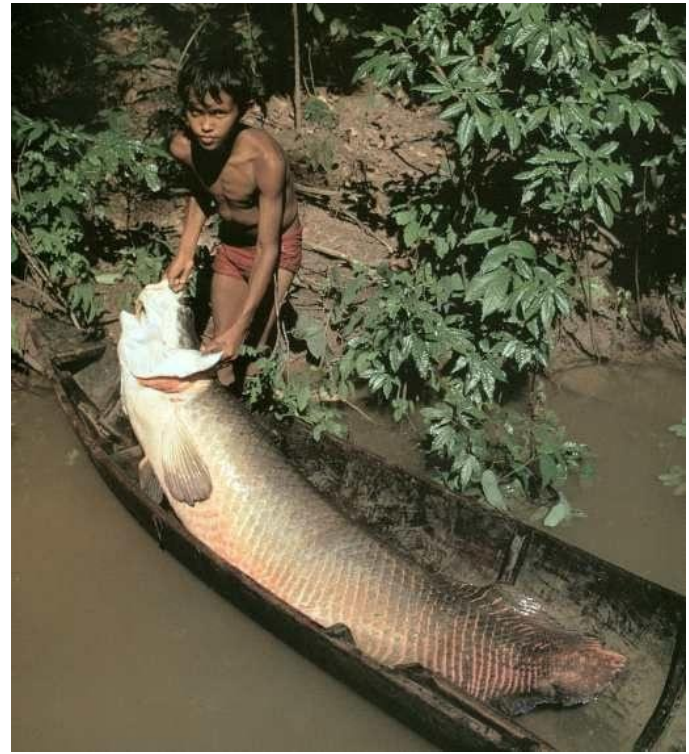
# Bird Diversity

<u>Location</u>	<u>Bird species</u>
N. America	620-700
La Selva Station (CR)	410
Cocha Cashu (Peru)	550
Yasuni (Ecuador)	~600
Colombia	1400-1600
Amazonia	>2000?





# Fish: 1300 described species



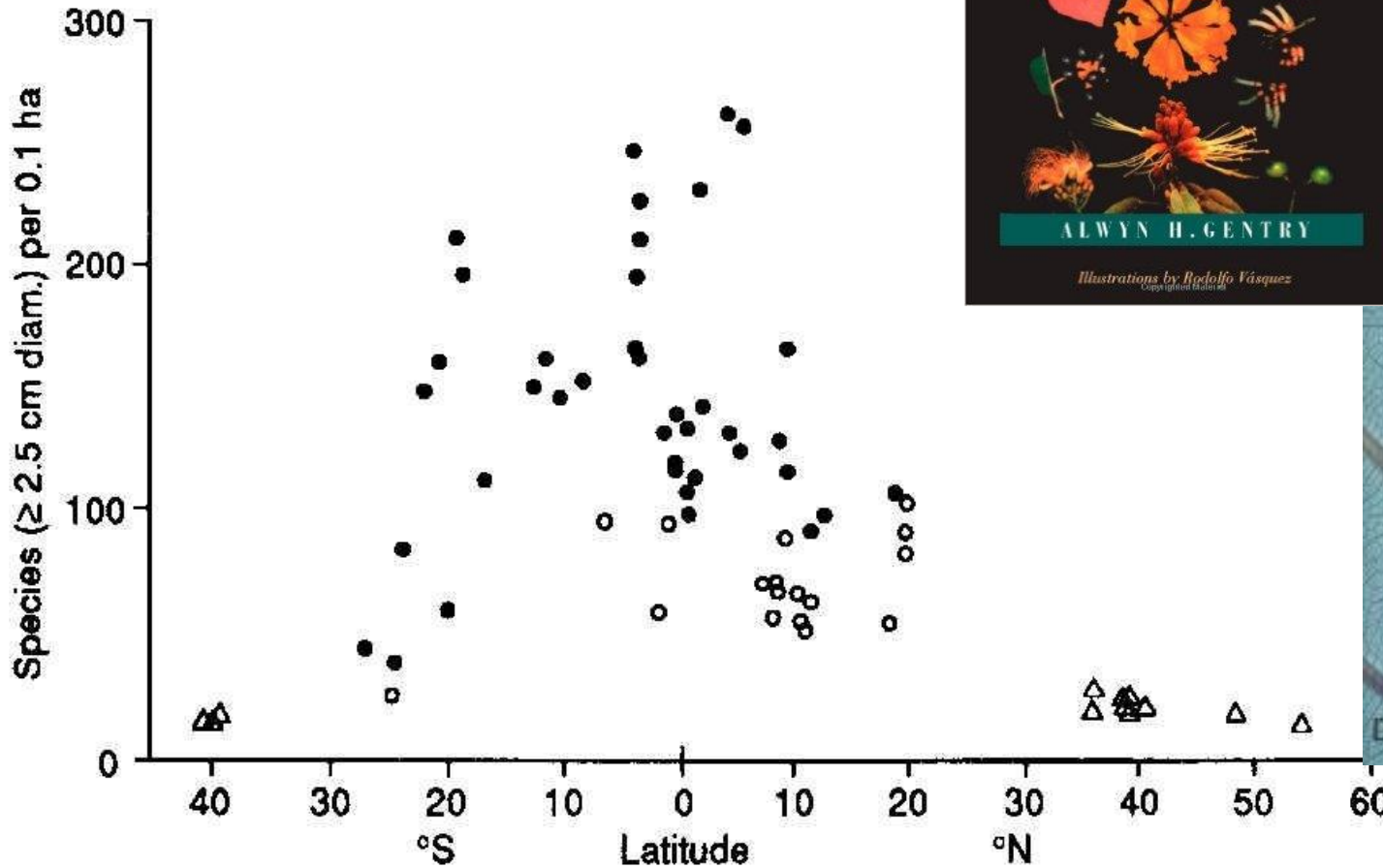
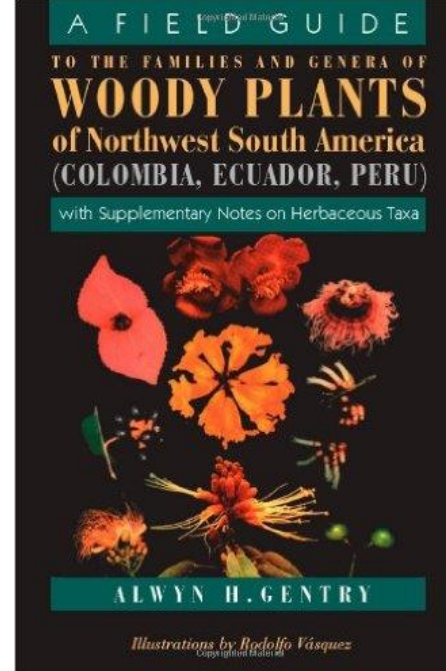


# Mammals: >500 (~350 in N Am)





# Large turnover in species across sites



Gentry (1988)

# 100,000 insect species/ha in w Amazonia



Terry Erwin, Smithsonian Institution

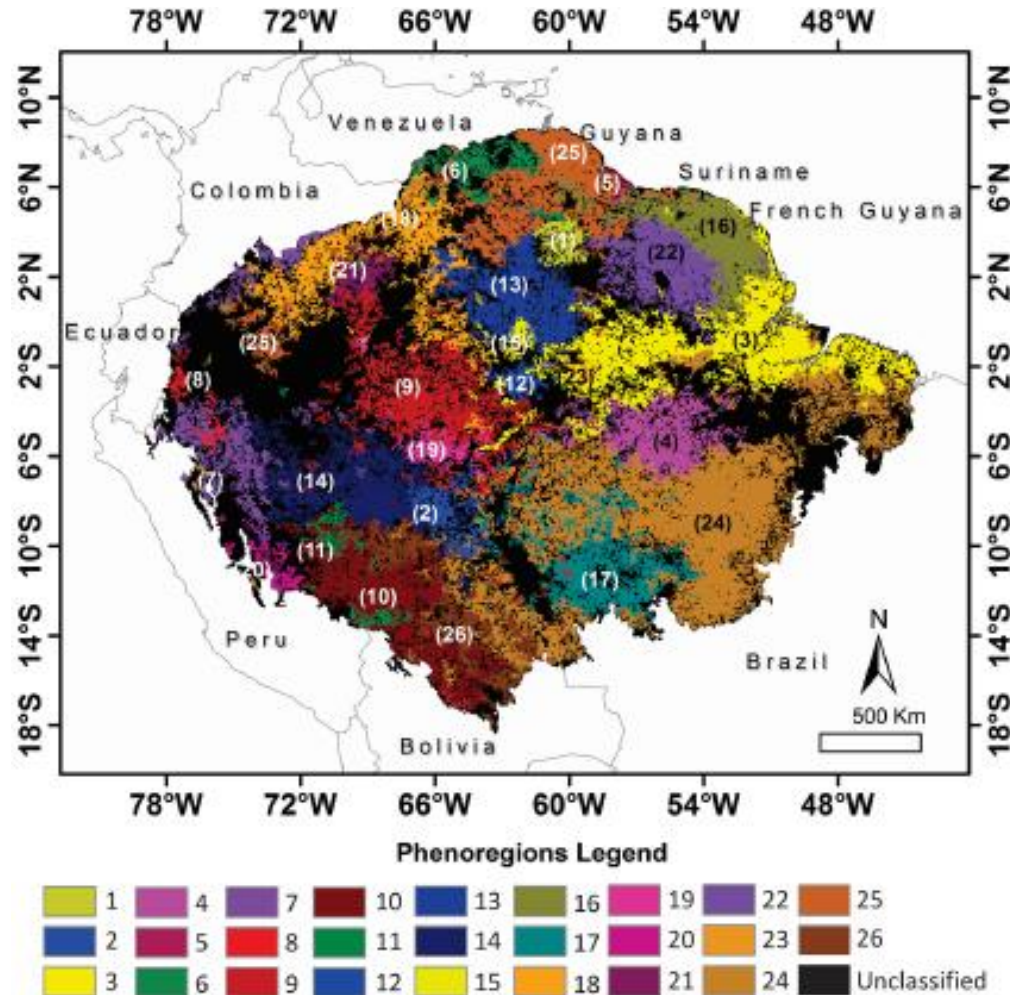


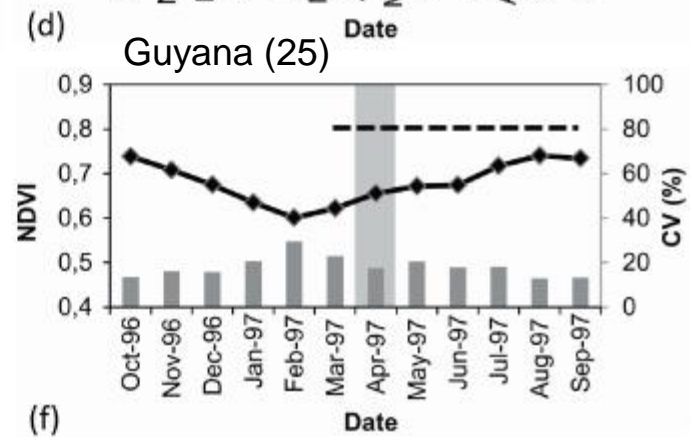
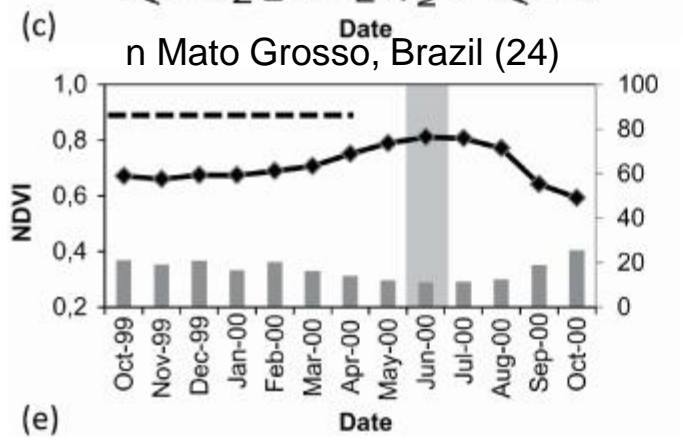
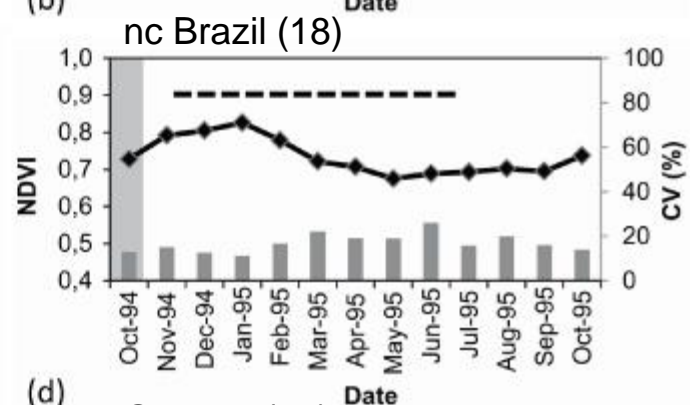
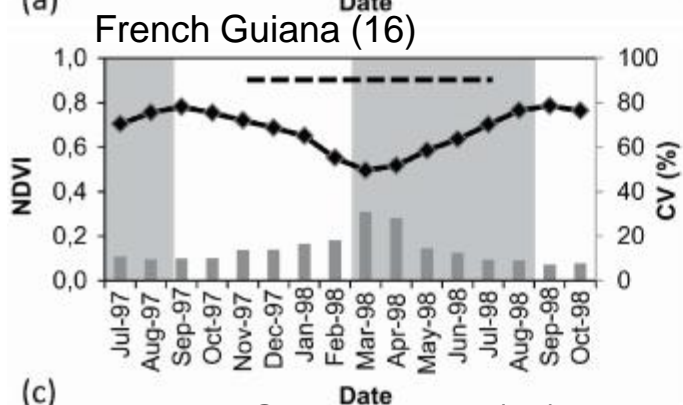
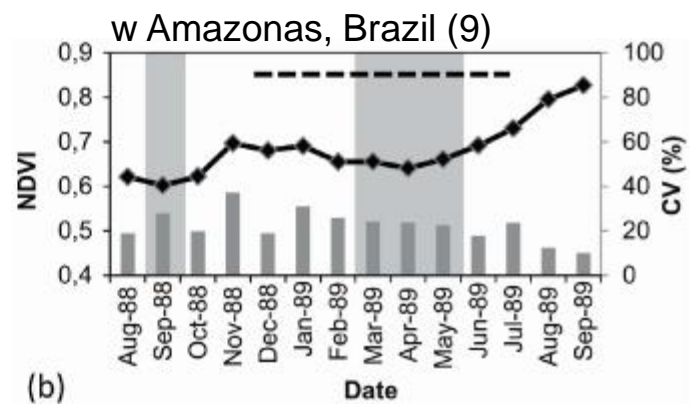
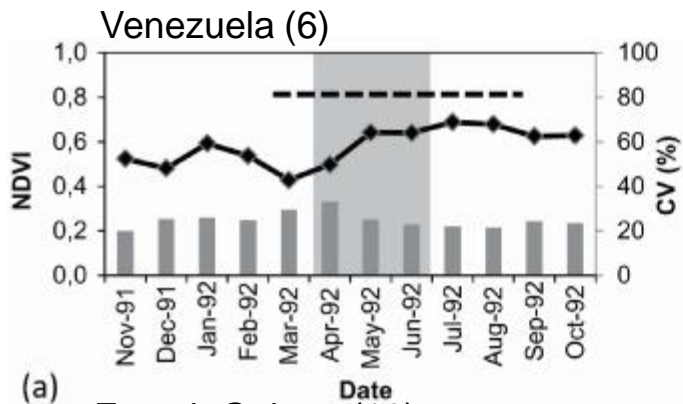
*How many are host-specific?  
How many tree species are there?*




# Species turnover is not surprising.

Amazon forest phenology is very heterogeneous and likely a product of varying soils, geomorphology, vegetation, and climate

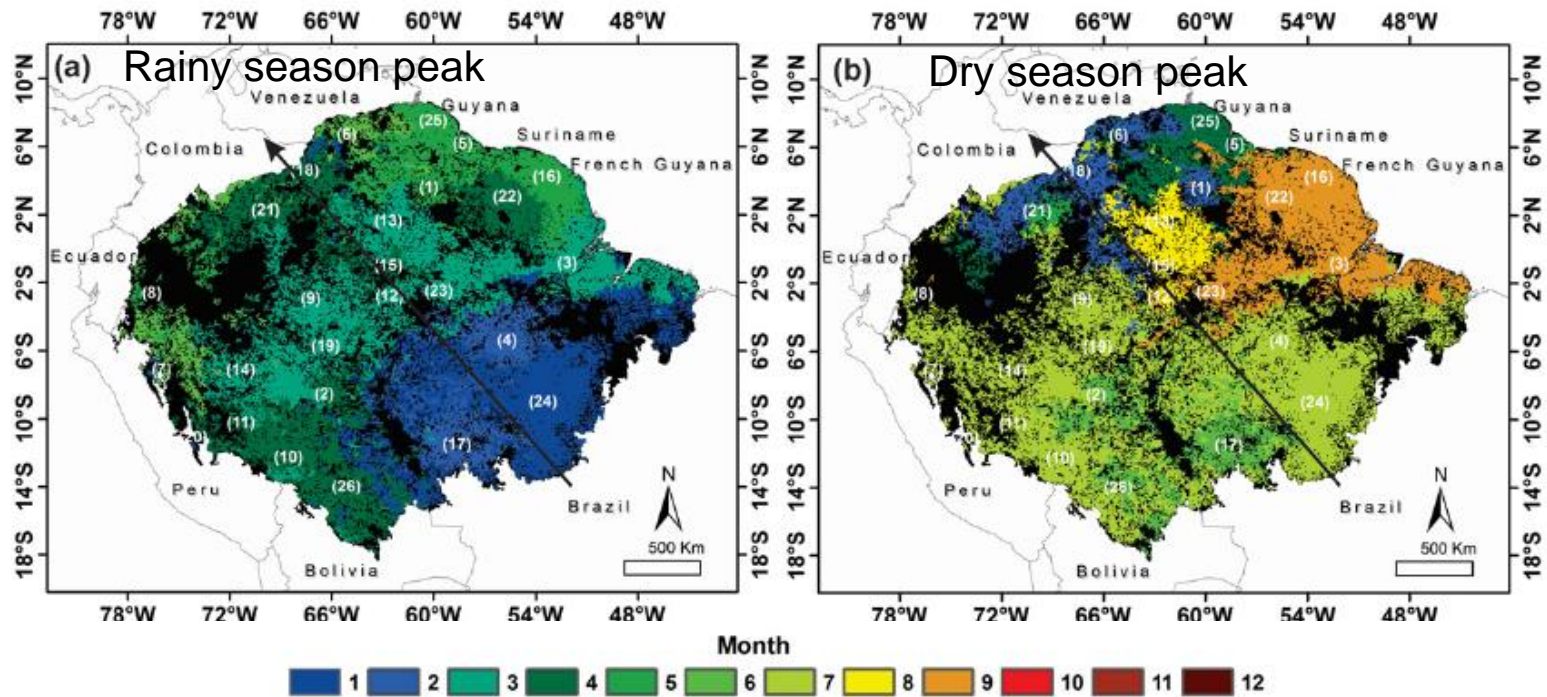




- - - - - rainy season   
  peak leaf production   
  monthly CV of NDVI

Silva et al. 2013





# **Question:** Why is diversity so much higher in the tropics than at higher latitudes?

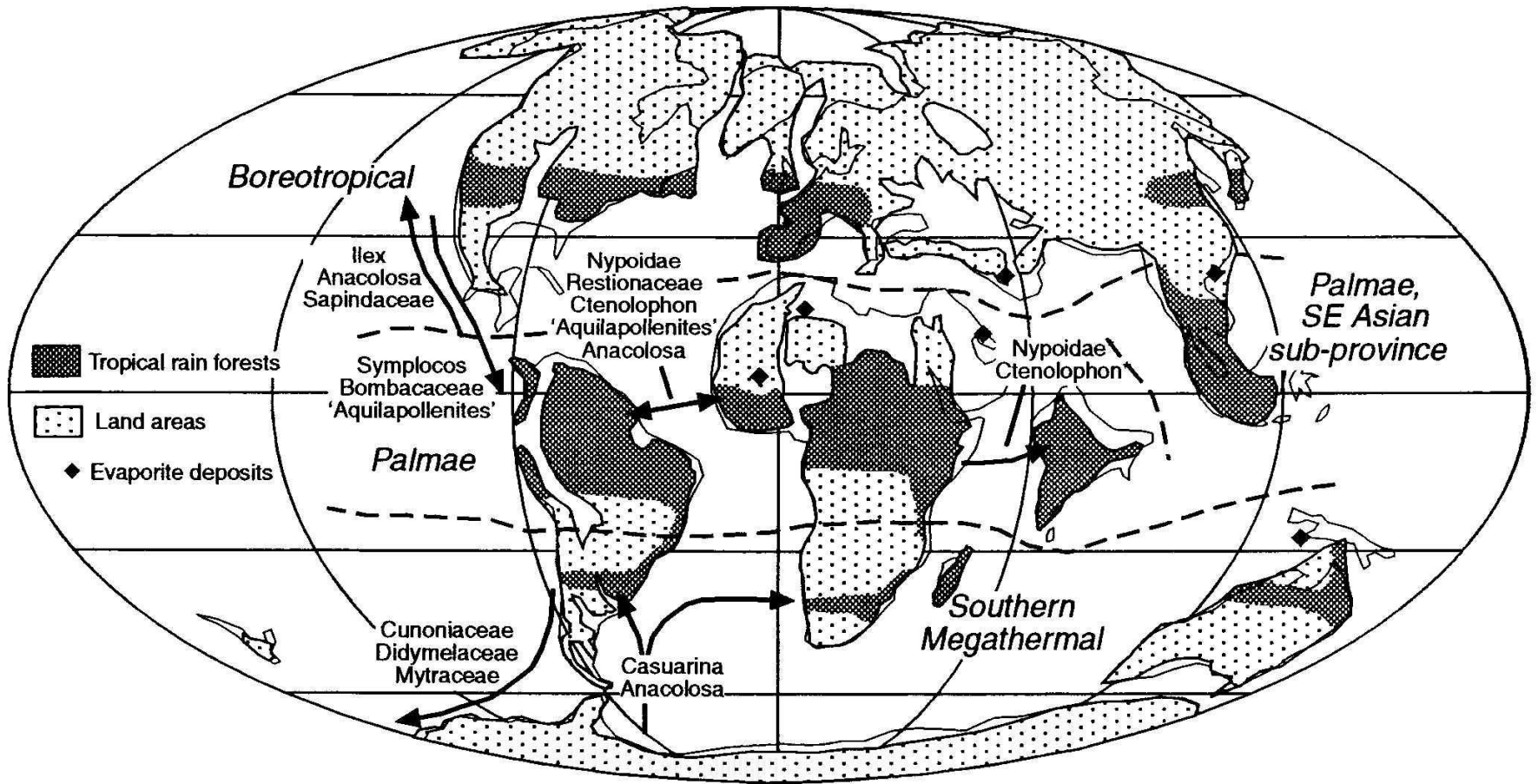
Two part answer:

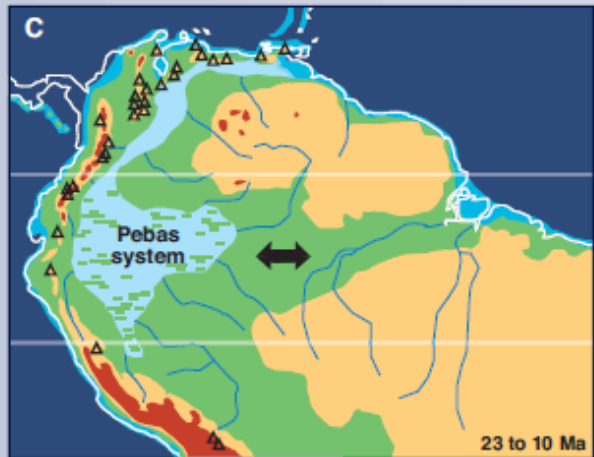
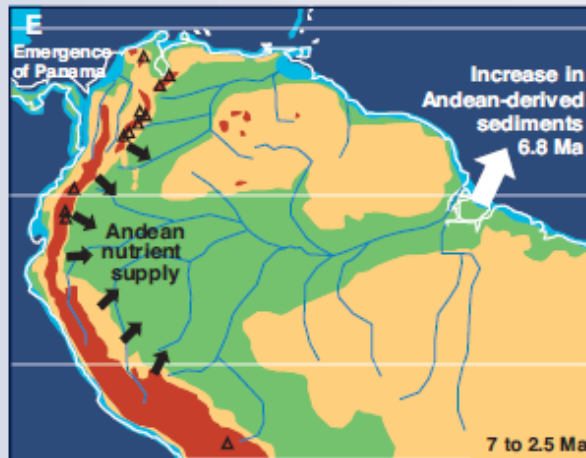
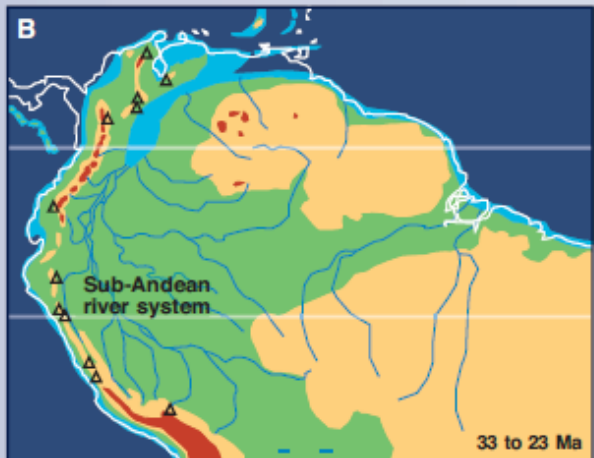
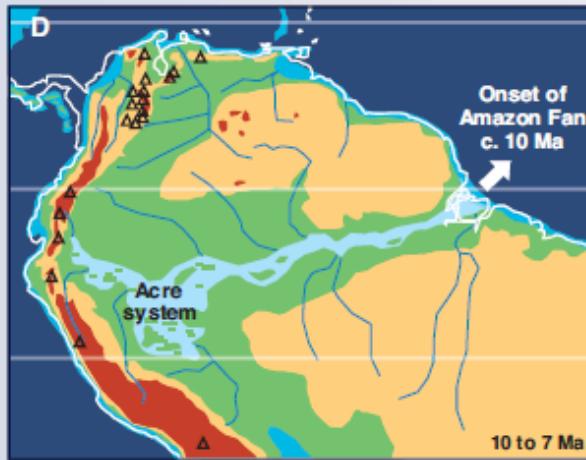
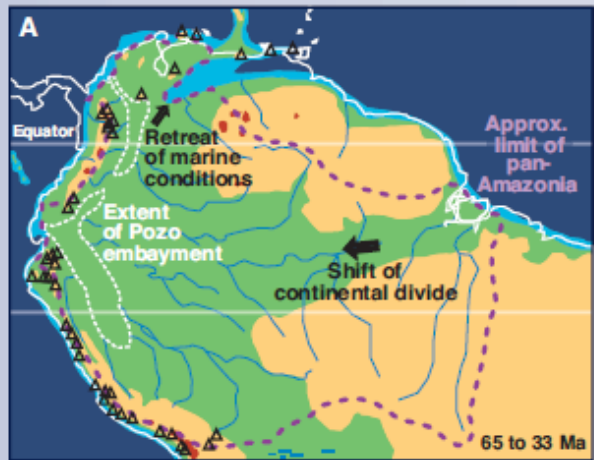
- 1) What are the ***origins*** of the diversity?
- 2) What ***maintains*** this diversity?





# Paleocene (65-54 Ma): the first appearance of closed-canopy tropical rain forests in 'greenhouse' climate





- Alpine
- Mountains/hills
- Lowland
- Lake/wetland
- Coastal seas
- Oceanic
- Rivers (conjectural)
- Apatite fission-track evidence for uplift

Hoorn et al. (2010)



# 4-5 MYA: following connection

## There was a Great American Faunal Interchange



### Northern Stopped by Filter

- Shrews
- Pocket mice
- Pocket gophers
- Beavers
- Pronghorn
- Bison



### Southern Crossing Filter

- Porcupines
- Glyptodonts
- Armadillos
- Ground Sloths
- Opossums



### Northern Crossing Filter

- Rabbits
- Field mice
- Foxes
- Bears
- Raccoons
- Weasels
- Cats
- Mastodons
- Horses
- Tapirs
- Peccaries
- Camels
- Deer



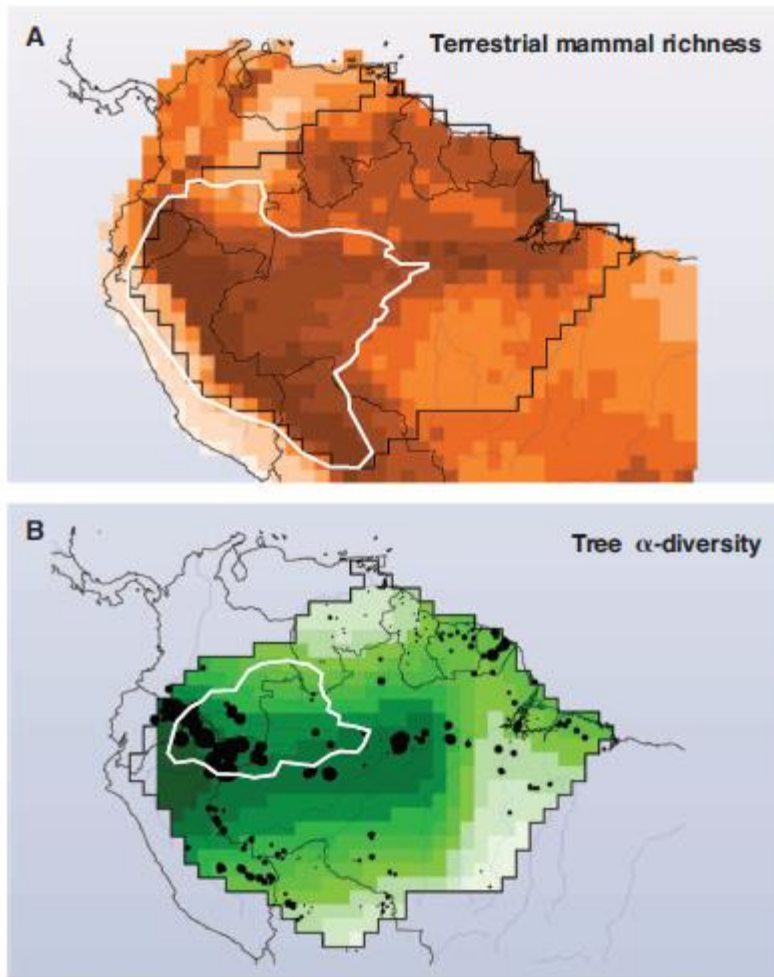
### Southern Stopped by Filter

- Primates
- Octodonts
- Spiny rats
- Nutrias
- Agoutis
- Capybaras
- Cavies
- 3-toed sloths
- Anteaters
- Shrew Opossums



# Hypotheses to explain tropical diversity

- Time and Area
- Climate Change
- Climatic Stability
- Spatial Heterogeneity
- Primary Production
- Etc



**Fig. 3.** Present Amazonian diversity patterns. See figs. S6 and S7 for depictions of the close relationship among Amazonian geology, soils, climate, and diversity. **(A)** Terrestrial mammal richness (range: lightest color, 2 to 10 species; darkest, 89 to 109 species) (69); white polygon denotes relatively rich soils (fig. S6C). **(B)** Tree  $\alpha$ -diversity (66). Black dots: local tree  $\alpha$ -diversity on 1-ha plots ( $n = 752$ ); Fisher's  $\alpha$  ranges from 3.6 to 300; green shades: loess spatial interpolation of 1-ha values (6 to 117); white polygon: area of least severe water shortage (see fig. S6D).



# Factors *maintaining* diversity in tropical ecosystems

The big questions: why are there so many species in tropics? Why don't some drive others to extinction?

## 1) Niche Differences (Specialization)

Ex. 1: plant-pollinator interactions (for plants and animals)

Ex. 2: plant-herbivore interactions (for animals)

Ex. 3: topography & soils (for plants)



## Some hypotheses (continued):

2) Janzen-Connell Effects, aka ‘Negative density dependence’: preference for competitive dominants by herbivores, pathogens, and predators allows rare species to persist





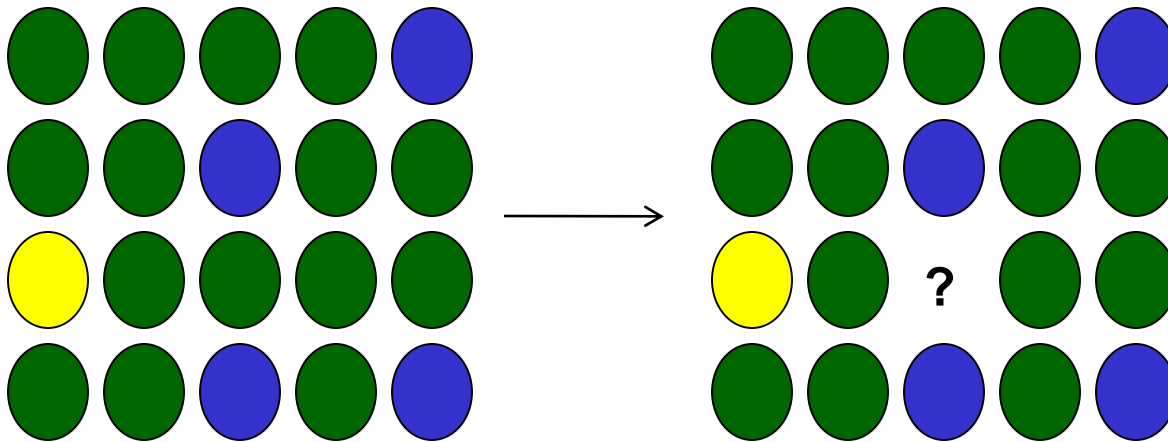
## Some hypotheses (continued):

3) Trade-off between survivorship and growth (*species that are really good dispersers are often very poor competitors*)



## Some hypotheses (continued):

4) “Neutral” mechanisms (Stephen Hubbell, 2001): species that replaces drawn at random from local community





# Some Thoughts about Change in the Amazon

## The Empty Forest

*Many large animals are already ecologically extinct in vast areas of neotropical forest where the vegetation still appears intact*

Kent H. Redford

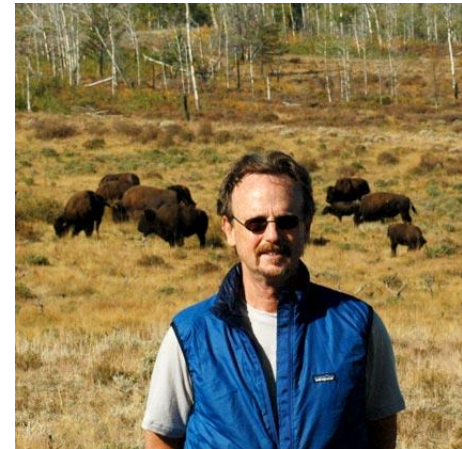
**T**he world conservation community has focused much of its attention on the plight of tropical forests. Many authors have lamented the loss of forest cover and the destruction of the forest and speculated on the extent of the tropical forest left intact. Throughout the discussion, tall, majestic, tropical trees are used as a symbol for the complete

---

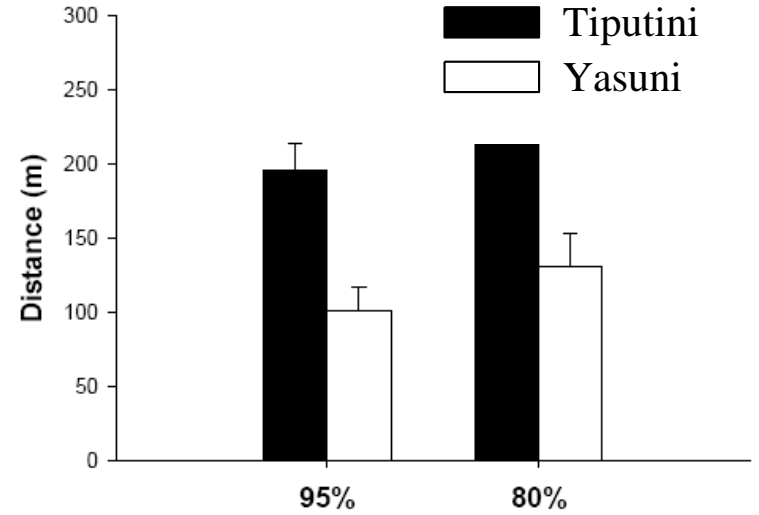
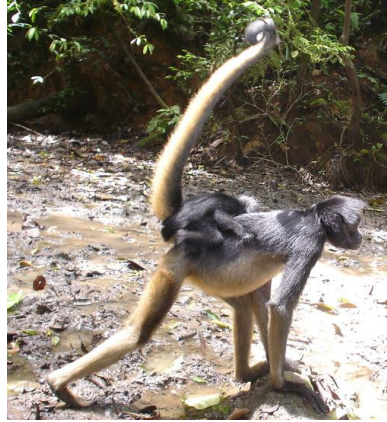
**We must not let a forest full of trees fool us into believing all is well**

---

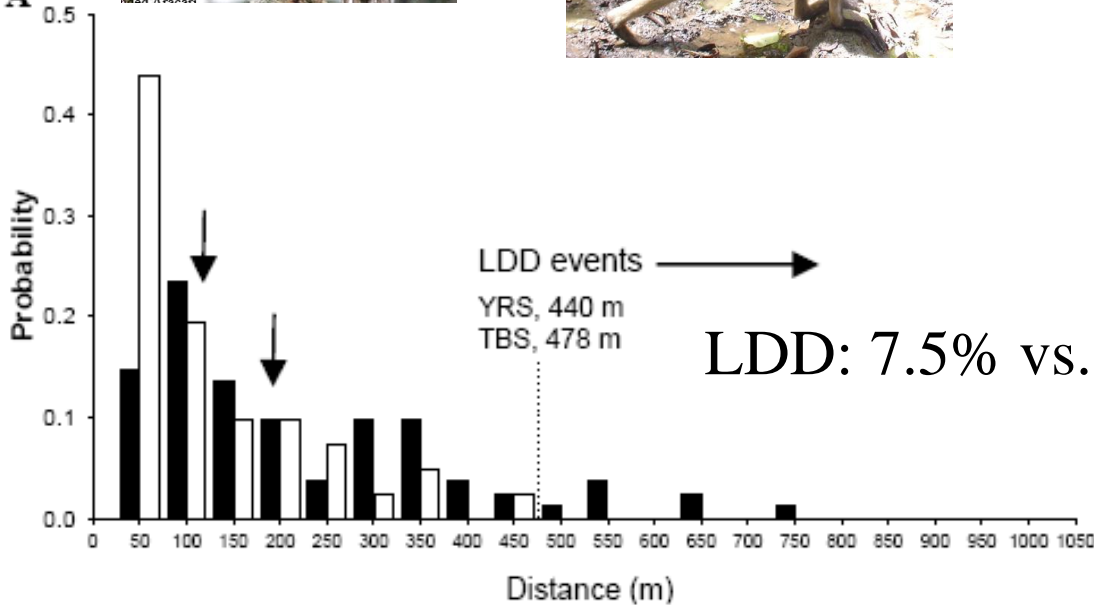
With few exceptions, researchers have concentrated on direct alteration of vegetation, not discussing the ways in which human activities have affected the animals of tropical forest ecosystems. In this article, I expand the focus to include defaunation of tropical forests, concentrating on the forests of the Amazon basin, and I show that the long-term preservation of tropical forest vegetation will not



# Reductions in large frugivores impacts long distance seed dispersal



95%  
A



Holbrook & Loiselle in review  
Holbrook et al. 2007

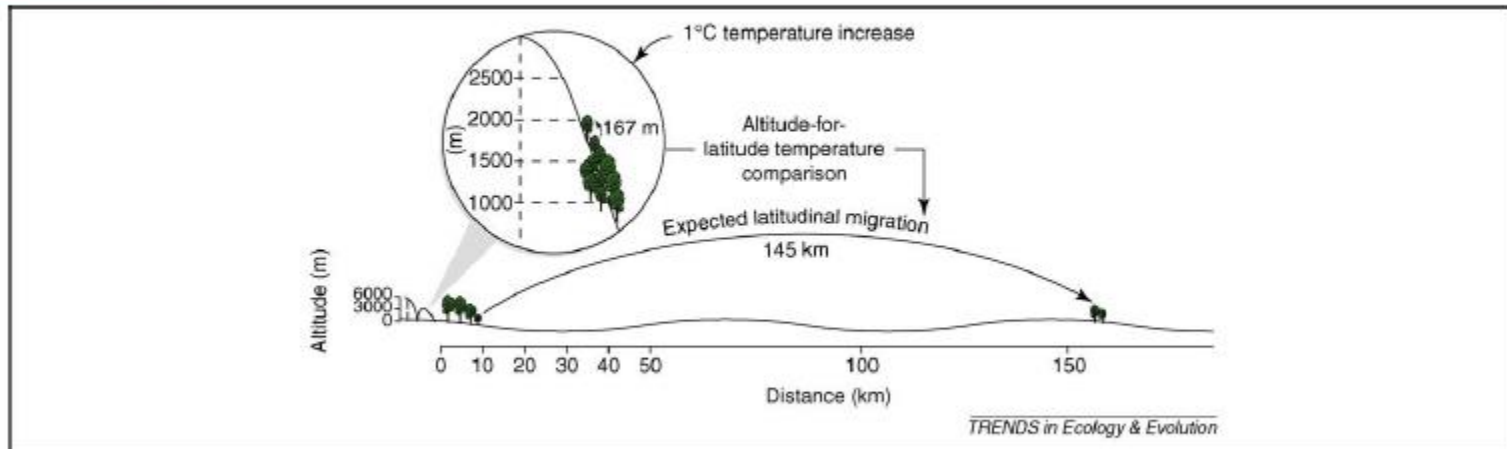


# Some Thoughts about Change in the Amazon

“Running to keep up with climate change”

Review

*Trends in Ecology and Evolution* Vol.24 No.12



**Figure 1.** Equivalent migrations in lowland and mountain regions predicted based on an altitude-for-latitude model of temperature similarity. A 1°C increase in mean annual temperature results in a range change of ~167 m in altitude but ~145 km in latitude (based on a temperature lapse rate of  $-6\text{ }^{\circ}\text{C km}^{-1}$  altitude and  $-6.9\text{ }^{\circ}\text{C }1000\text{ km}^{-1}$  latitude from data in Refs [3,14]). Trees are not to scale.

# Large declines in Amazon birds a consequence of climate change?

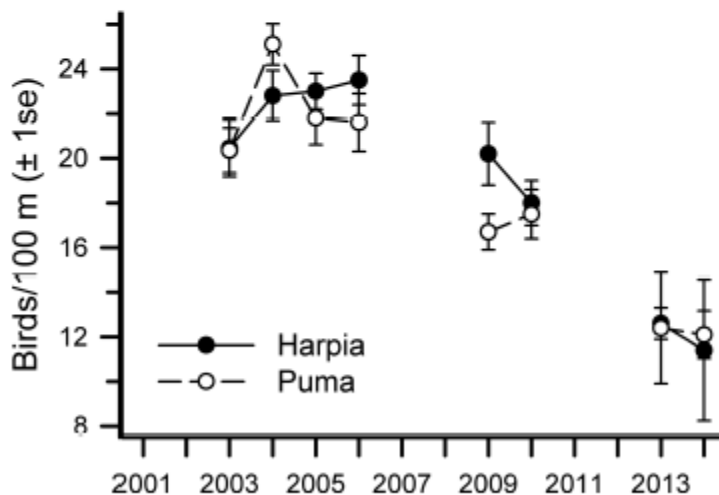
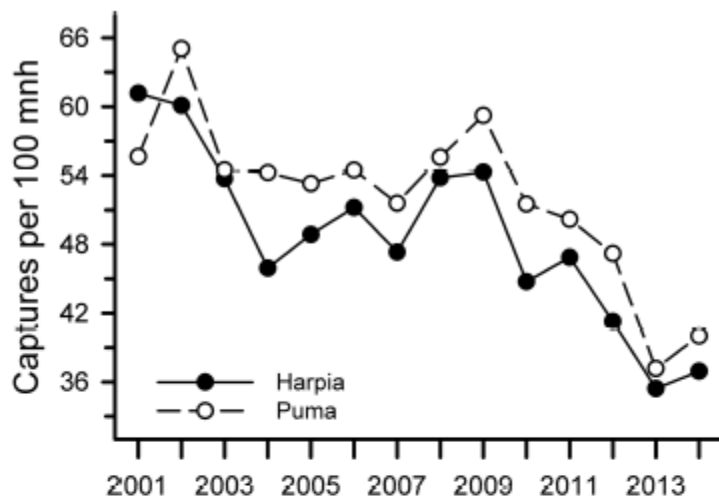


Figure 1 Capture rates and observations of birds at Tiputini Biodiversity Station. Capture rates (A) and observations (B) of birds on two 100-ha plots (Harpia, Puma) at Tiputini Biodiversity Station, Ecuador, from 2001 to 2014.

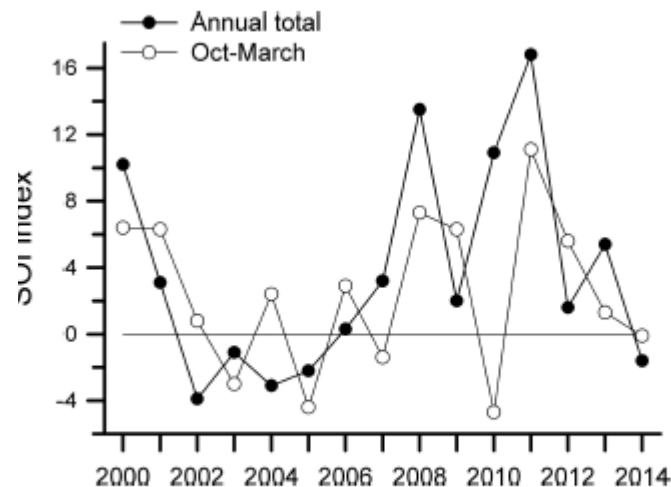


Figure 5 Southern Oscillation Index values. Southern Oscillation Index (SOI) values based on annual totals or on totals from Oct–Dec of previous year and Jan–Mar of current year. Positive values indicate La Niña-type events; negative values reflect El Niño.

